

National Science Foundation
The Notification and Federal Employee Antidiscrimination
And
Retaliation Act of 2002 ("No FEAR Act")
Section 203(a)

Introduction

The National Science Foundation (NSF) is pleased to present its report on the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 ("No FEAR Act") covering Fiscal Year (FY) 2017, which summarizes many of NSF's significant achievements in its equal employment opportunity (EEO) programs. NSF is committed to achieving equality for all employees through its management decisions, personnel actions, and programs that are designed to address such issues.

In accordance with the Equal Employment Opportunity Commission (EEOC) Management Directive (MD) 715, NSF conducts an annual analysis of its workforce to ensure agency personnel policies, principles, and practices provide employment opportunities for all employees, particularly minorities, women and individuals with disabilities. NSF's EEO complaint program, which includes alternative dispute resolution and early intervention, provides for a prompt, fair, and impartial review of allegations of discrimination and other employment-related concerns.

Although the number of complaints fluctuates from year to year, historically, NSF has not experienced a large number of complaints. During Fiscal Year (FY) 2017, a total of 11 informal complaints were initiated, and 6 formal complaints were carried over from FY 2015 and FY 2016 for a total of 17 active complaints (informal and formal). Of the 11 informal complaints filed in FY 2017, five were settled and withdrawn, two were administratively closed, and four progressed to formal complaints. The six formal complaints carried over from FY 2015 and FY 2016 continued to progress through the formal EEO process leaving 10 active formal complaints at the end of FY 2017. The EEOC issued no findings of discrimination against NSF in FY 2017.

There were no cases filed in Federal court against NSF under the Federal antidiscrimination laws or whistleblower protection laws. NSF follows overall agency adverse and disciplinary action practices, procedures, and case law on determining appropriate action to be taken based on the nature of the offense when there is a violation. Additionally, NSF continues to regularly assess its practices and policies to ensure compliance with EEO laws and to avoid systemic barriers to EEO.

Of the 1488 employees onboard in FY 2017, 555 (37%) completed the existing online No FEAR training module included in Tab 1, and 277 (19%) received the training via new employee orientation included in Tab 3. NSF has an online training module that has been used since FY 2015 for existing employees on the provisions of the No FEAR Act, in which

recertification training is required every two years.¹ The 2017 Analysis, which provides an overview of the data required by the No FEAR Act, is attached as Tab 2. Additionally, this Analysis addresses on-going initiatives that demonstrate NSF's commitment to ensuring a diverse and dynamic workforce that is poised to carry out NSF's mission into the 21st century.

¹ The recertification training requirement is a bi-annual process and odd-numbered years reflect a higher number of employees having taken the training due to the entire workforce taking it the first year.

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For Period Covering October 1, 2016, to September 30, 2017

TAB 1

T-1: No FEAR Act Awareness Training (Academy Learn online training)²

² Please contact NSF's Office of Diversity and Inclusion for more information regarding this training.

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TAB 2

T-2: 2017 ANALYSIS – Section 203(a)(7)

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2017 ANALYSIS – Section 203(a)(7)

A. Trend Analysis

During Fiscal Year (FY) 2017, a total of 11 informal complaints were initiated, and 6 formal complaints were carried over from FY 2015 and FY 2016 for a total of 17 active complaints (informal and formal). Of the 11 informal complaints filed in FY 2017, five were settled and withdrawn, two were administratively closed, and four progressed to formal complaints. The six formal complaints carried over from FY 2015 and FY 2016 continued to progress through the formal EEO process leaving 10 active formal complaints at the end of FY 2017. The EEOC issued no findings of discrimination against NSF in FY 2017.

B. Disciplinary Action

No NSF employees have been disciplined in connection with any Federal court case due to any forms of discrimination, retaliation, harassment, or any other infraction of laws included in the No FEAR Act in FY 2017.

There were no complaints filed under Title VI of the Civil Rights Act of 1964, as amended, and one complaint filed under Section 504 of the Rehabilitation Act of 1973. There were two complaints filed under Title IX of the Education Amendments of 1972, as amended.

C. Final Year-end Data Posted Under Section 301 (c) (1) (B)

The final year-end data indicates that during FY 2017, there were 11 complaints of discrimination filed with no findings of discrimination.

D. Description of Policy on Disciplinary Actions

Section 203 of the No FEAR Act requires that Federal agencies' Annual Reports to Congress include a detailed description of the agencies disciplinary policies related to laws covered by the No FEAR Act.

There are two policy statements that reinforce NSF's commitment to establishing a workplace free from discrimination, harassment, and/or retaliation. These policies advise employees that they will be subject to disciplinary action for engaging in discriminatory misconduct, and/or advise employees about their rights and responsibilities. NSF employees are accountable for their actions with respect to these policy statements, which include:

- The Equal Opportunity and Prevention of Harassment Policy Statement emphasizing NSF's determination to subject employees to appropriate disciplinary action for engaging in unlawful discriminatory and harassment practices; and
- The Prohibited Personal Practices, Whistleblower Protections, and the Hatch Act Memorandum that expresses the agency's commitment to addressing violations of the Prohibited Personnel Practices, Whistleblower Protection, and the Hatch Act. Such violations will not be tolerated.

B. Causal Analysis

The Office of Diversity and Inclusion (ODI) conducted an analysis of the informal complaints initiated in FY 2017 for potential trends and/or barriers. A review of the complaints showed no significant findings during this time period.

C. Practical Knowledge Gained through Experience

NSF is committed to equal employment opportunity (EEO) principles and practices in all of its management decisions and personnel activities. It is the goal of NSF to develop and retain a high-quality, diverse workforce that is representative of our nation's diversity. NSF believes that a culturally diverse environment promotes better communication, ideas, and trust between individuals. Therefore, NSF will continue its emphasis on attracting the best candidates from a variety of sources, selecting and advancing the best qualified employees based upon merit, training its managers and employees on their EEO rights and responsibilities, providing equal opportunities to all individuals, and providing a prompt, fair and impartial review and adjudication of any allegations of discrimination.

D. Actions Planned/Taken to Improve Agency Complaint or Civil Rights Program

During FY 2017, NSF's noteworthy accomplishments include the following:

- o ODI continued to update the Notification of Federal Employee Anti-discrimination and Retaliation Act (No FEAR Act) data on its website in accordance with the No FEAR Act of 2002.
- o ODI continued to be cognizant of the regulatory requirements of timely processing complaints and continued to strive in adhering to this requirement. ODI has always had in place an efficient and expeditious process of employing contractor services for ODI counseling, investigations, alternative dispute resolution (ADR), and final agency decisions (FAD).
- o NSF continued to regularly assess its practices and policies to ensure compliance with EEO laws and to avoid systemic barriers to EEO. NSF continued its very successful reasonable accommodations program that provides employees, applicants, visitors and panelists with disabilities with reasonable accommodations.

In FY 2017, the Department of Defense Computer/Electronic Accommodation Program (CAP) provided 23 NSF reasonable accommodations, which is a decrease of 51% from FY 2016. Additionally, the total expenses incurred for reasonable accommodations from NSF's "centralized fund" showed a 6% decrease from FY 2016.

- ODI, in addition to the on-line training module, continued to conduct the No FEAR Act training as part of the New Employees Orientation (NEO). Employees were provided information on their rights under the antidiscrimination and whistleblower laws. There were 277 new employees that received this training during FY 2017.
- ODI revised its EEO training materials for New Employee Orientation (NEO). EEO briefings for various offices at their request provided the framework for all supervisors and managers to be provided EEO training via a web-based module followed by live discussion sessions.
- ODI continued its focus on assisting the agency to best achieve model EEO status by focusing on the following principles: demonstrated commitment to EEO from agency leadership; integration of EEO into the agency's strategic mission; management and program accountability; proactive prevention of unlawful discrimination; efficiency; and responsiveness and legal compliance, in which significant strides were made in addressing the remaining actions necessary to successfully complete the Model EEO Agency checklist.
- ODI continues its participation on The Department of Justice Interagency Working Group charged with Title VI initiatives.
- ODI continues its participation on The Department of Justice Interagency Working Group charged with Title IX compliance issues.
- ODI continues its participation in OPM's Diversity and Inclusion 60+ Federal Agencies Strategic Partnership group.
- ODI continues its participation on the Alternative Dispute Resolution Working Group.
- ODI continues its participation in the White House Initiative on Title IX.
- NSF continued to emphasize its Strategic Plan, 2014-2018, which focused on the agency's commitment to diversity and to its workforce in its goal of "Inclusiveness - seeking and embracing contributions from all sources, including underrepresented groups, regions, and institutions.
- NSF's various directorates and offices actively participated in nine Special Emphasis Programs.

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TAB 3

T-3: No FEAR Act Awareness Training FY 2017 (Live training)³

³ Please contact NSF's Office of Diversity and Inclusion for more information regarding this training.