

## Appendix A

### Certification of Membership

Each IUCRC in a cluster must submit its own certification Printed on Academic Institution Letterhead. Instructions in *Italic font* can be removed. If certifying for multiple sites, please indicate respective site.

**Project Title (original IUCRC award):** IUCRC for

Grant No. IIP-

Project dates:

Today's date:

Member	Type	Year 1	Year 2	Year 3	Year 4	Year 5
<b>In Cash (only members with a membership agreement executed and payment completed or at least initiated can be reported in any given year)</b> <u>Member:</u> <ul style="list-style-type: none"> <li>List Company name, government agency with branch, and trade organizations or associations</li> <li>For Small Business that received NSF SBIR Phase II matching, only the contribution of the company must be entered. You can enter NSF contribution under the "Others" section found below</li> <li>Trade organization and associations must sign membership agreement and addendum to be eligible members</li> </ul> <u>Type:</u> <ul style="list-style-type: none"> <li>Specify level of membership based on membership agreement based on agreement (Full/Associate/Observer)</li> <li>If Government, indicate [Level]/[MIPRID]-ID or [IAA]-ID/[IIP supplement ID] or [Level]-signed if they signed the membership agreement</li> <li>If members are buying more than one membership in any given year please indicate number next to type (example: company A buys 2 full memberships for year 1, indicates 2-Full)</li> </ul> <u>Year x:</u> <ul style="list-style-type: none"> <li>Enter membership amount under corresponding year</li> </ul> <ul style="list-style-type: none"> <li>If member buys multiple years, you must enter each year membership amount under the corresponding year (example: company A buys 3 years membership in year 1 equivalent to \$150k, you must enter 50k in year 1, 50k in year 2 and 50k in year 3)</li> <li><u>If member pays in installments, you must enter full amount under the year when payment was initiated and you must indicate amount received to date</u></li> </ul>						
<b>In Kind (note that in-kind membership can be counted towards minimum only for awards made prior to January 2016)</b> List name of members that provided in kind contributions. <ul style="list-style-type: none"> <li>In the first year of any phase, in-kind contributions do not count towards the minimum membership requirement and are to be addressed in the annual report or entered under the section "Others" below. However, with NSF's approval, Phase I can be granted an exception for in-kind totaling no more than one full membership fee.</li> <li>After the first year of any phase, the Industry Advisory Board (IAB) must approve all in-kind contributions for membership fees. If in-kind contributions are listed, you must include IAB certification of approval.</li> </ul>						
<b>Annual funds certified towards minimum membership requirement**</b>						
<b>** Minimum membership requirement is:</b> <i>Single Site Center</i> <ul style="list-style-type: none"> <li>\$400K AND 8 full members for a single site center</li> </ul> <i>Multi-Site Center:</i> <ul style="list-style-type: none"> <li>for awards prior to January 2016: <ul style="list-style-type: none"> <li>Phase I: \$150k AND 3 full members per Site</li> <li>Phase II and III: \$175k AND 3 full members per site in a multi-sites Phase II and III</li> </ul> </li> <li>for awards made after January 2016-08-05 <ul style="list-style-type: none"> <li>Phase I: \$150k AND 3 full distinct members per site</li> </ul> </li> </ul>						

<ul style="list-style-type: none"> <li>● Phase II \$200k AND 4 full distinct members per site</li> <li>● Phase III \$250k AND 5 full members per site</li> </ul> <p><i>Please refer to solicitation for definition of eligible members</i></p>						
<p><b>Others</b></p> <p><i>List NSF SBIR Phase II contribution, In-Kind contributions that do not count towards the minimum membership requirement, Institution contributions, and any other source of funding that the Site Director wishes to list to demonstrate financial status of the site.</i></p>						
<b>Site Overall Total Funds (sum of annual funds certified and all other funds)</b>						

Provided and signed by