	System	Name:
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Project Participant File.

Security Classification:

None.

# System Location:

NSF headquarters, Virginia.

### Categories of Individuals Covered by the System:

Individual participants who do work under NSF-supported projects and meet specified criteria, other than PIs or project directors. Includes, for example, other investigators, post-doctoral associates, graduate and undergraduate assistants.

# Categories of Records in the System:

Information gathered primarily through reporting on funded projects about those who are supported by NSF awards or otherwise involved in projects supported by NSF awards. The information is electronic and retrievable by name of individual project participant. The information includes: name; demographic information, if voluntarily provided; project worked on; involvement in project; level of effort; and whether financially supported by NSF.

#### Authority for Maintenance of the System:

42 U.S.C. 1870 and 44 U.S.C. 3101.

#### Purpose(s) of the System:

Supplements other information gathered via project reporting on projects funded by NSF. The primary purpose is to enable NSF to identify outcomes of projects funded under NSF awards for management, evaluation, and for reporting. Information on participants will normally be aggregated, usually statistically, to identify outcomes of NSF programs. On occasion non-sensitive information might be used to identify persons who have achieved distinction in science, engineering, education, or the like (for example, by award of a prize) as beneficiaries of NSF support.

The information in the system may also be used secondarily for compatible purposes including to:

- (1) Identify scientists, engineers, or educators who may be interested in applying for support, in attending a scientific or similar meeting, in applying for a position, or in taking advantage of some similar opportunity;
- (2)Identify possible candidates to serve as reviewers in the peer review system or for inclusion on a panel or advisory committee (information from this system may be entered in the NSF's reviewer databases, NSF-51 and NSF-54, for this purpose).

# Routine Uses of Records Maintained in the System, Including Categories of Users and the Purposes of Such Uses:

NSF standard routine uses apply. In addition, information may be disclosed to:

- (1) A government agency so that it can identify and contact persons who might be interested in a scientific, technical, or educational program, meeting, vacancy, or similar opportunity.
- (2) Other government agencies or other entities as part of a joint application review process, or in order to coordinate programs or policy.
- (3) Other entities when merging records with other computer files to carry out statistical studies for or otherwise assist NSF with program management, evaluation, or reporting. Disclosure may be made for this purpose to NSF contractors and collaborating researchers, and other government agencies and qualified research institutions and their staffs. Disclosures are made only after scrutiny of research protocols and with appropriate controls. The results of such studies are statistical in nature and do not identify individuals.
- (4) Contractors, grantees, volunteers, experts, consultants, advisors, and other individuals who perform a service to or work on or under a contract, grant, cooperative agreement, advisory committee, committee of visitors, or other arrangement with or for the Federal government, as necessary to carry out their duties in pursuit of the purposes described above. The contractors are subject to the provisions of the Privacy Act.

Policies and Practices for Storing Retrieving, Accessing, Retaining and Disposing of Records in the System:

### Storage:

Records are stored on electronic digital media.

#### Retrievability:

Records are retrieved by a participant's name.

# Safeguards:

Records are protected by administrative, technical, and physical safeguards administered by NSF.

# Retention and Disposal:

Records are maintained and disposed of in accordance with NARA approved record schedules. Participant records follow the records retention schedule for awarded proposals. See SORN NSF-50.

# System Manager(s) and Address:

Director/Head or designee of the particular Division or Office maintaining such records at NSF headquarters, Virginia.

# Notification Procedure:

Follow the Requesting Access to Records procedures found at 45 CFR Part 613.

#### Record Access Procedures:

Follow the Requesting Access to Records procedures found at 45 CFR Part 613.

# **Contesting Record Procedures:**

Follow the procedures found at 45 CFR part 613.

#### **Record Source Categories:**

An individual participant's name, the identity of any project on which the participant worked, and information on the nature and extent of the individual's involvement, level of effort, and NSF support is provided by the PI/grantee through project reporting. Demographic data is supplied by the individual participant on a voluntary basis. The individual participant may report "Do not wish to Provide."

#### Exemptions Claimed for the System:

None.