



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I14080030

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This case was opened on a company¹ due to concerns regarding the primary employment of the Principal Investigator² on an SBIR award.³ Concerns regarding the PI's primary employment also raised questions regarding how the NSF award money had been spent. The PI stated that he would not be able to attend the mandatory SBIR Phase I Workshop due to teaching commitments at a university.⁴ This raised questions as to whether the PI was primarily employed by the company or the university.

We subpoenaed the company for its records related to the award. We also subpoenaed the university for the personnel file of the PI. All documentation supported that the PI was primarily employed by the company, and raised no issues about how the NSF funds had been spent. Currently, there are no unresolved issues.

Accordingly, this case is closed.

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