



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I14010001

Page 1 of 1

We initiated this case to investigate concerns raised about an SBIR company¹ in another case². We obtained records from the company and the budgeted subaward recipients³, and determined that the company did not make subawards to two collaborating universities, as budgeted and represented to NSF. We also determined the company claimed costs incurred after the expiration date on two awards.

In an effort to resolve the investigative issues identified in this case and the other case, we referred the matter to NSF. As a result, NSF and the company executed an administrative agreement, which included \$71,702 in restitution; certifications and assurances for two years for the company and its president⁴; a four-year bar from serving as an NSF merit reviewer for the company president; and completion of responsible conduct of research (RCR) training for the company president and two other employees.

Accordingly, this case is closed.

