



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-12-10-0068

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This investigation was initiated based on information received from the National Aeronautics and Space Administration (NASA) OIG, that they were investigating the Principle Investigator (PI)¹ on several federal awards for possible duplicate funding. The potential duplicate funding was on SBIR awards to a company² owned by the PI's spouse.³

Our investigation determined that the PI had one active NSF award⁴ made to his university.⁵ At our recommendation, NSF suspended the award.

This matter was referred to the United States Attorney's Office [REDACTED] for prosecution. On [REDACTED], the PI pled guilty to one count of false statements related to an SBIR award application he submitted to the [REDACTED]. The PI was sentenced to 2-years of probation, a \$200,000 fine, and ordered to make restitution to [REDACTED] in the amount of [REDACTED]. The NSF award was ultimately terminated, resulting in \$332,491.27 in funds put to better use.

Accordingly, this investigation is closed.

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