



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I12020011

Page 1 of 1

We conducted a proactive investigation involving the owner¹ of a small business² that received multiple Small Business Innovation Research (SBIR) awards from NSF. Our investigation determined that the owner converted nearly \$150,000 of the award funds for his personal use and that of family members. Furthermore, in his capacity as a faculty member at a university³ and principal investigator on the NSF awards to the university, he mischarged personal and non-award-related travel expenditures to the university's NSF awards.

We referred the matter to an office of the U.S. Attorney, which charged the Subject with two counts of violating 18 U.S.C. § 1001(a)(2), False Statements. The Subject entered into a Plea Agreement and pled guilty to both counts. The Subject returned over \$100,000 to NSF.

We recommended that the owner be debarred. NSF agreed with our recommendation.

This case is closed with no further action taken.

[REDACTED]