



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-11060024

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OIG conducted an investigation to determine whether the Subject,¹ a Principal Investigator (PI) on multiple NSF SBIR awards to his company² submitted false information to NSF in proposals and project reports. After a full investigation, OIG determined that the subject failed to meet certain eligibility criteria associated with his awards, falsely certified his compliance, used certain award funds to pay for personal expenses, provided false information to NSF in proposals regarding his company's facilities and personnel, and provided misleading information in reports filed with NSF regarding work performed.

In addition to recommending that NSF terminate the existing SBIR award, NSF OIG referred the case to the appropriate U.S. Attorney's Office (USAO) for prosecution. The USAO accepted the matter for civil action, and the matter resulted in a civil settlement under the Civil False Claims Act. As part of the settlement, the subject agreed to pay back \$200,000 and to not apply for any additional NSF funding for a period of five years.

Accordingly, no further action is warranted and this case is closed.

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