



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-16-0088-O

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We opened this case to investigate whether the Principal Investigator¹ (PI) of an NSF Small Business Innovation Research (SBIR) award² to a grantee company³ was also PI of an SBIR award from another agency⁴ to another company⁵ in violation of the primary employment rule applicable to SBIR awards.

Our office reviewed internal NSF documents and an agent from the other agency reviewed documents submitted to that agency from the second company.

Our investigation found that the grantee company novated the award to the other company prior to the award from the second agency. We did not substantiate any allegations of violations of the primary employment rule.

This case is closed with no further action taken.

1 [REDACTED]
2 [REDACTED]
3 [REDACTED]
4 [REDACTED]
5 [REDACTED]