



# **The Recovery Act and the Excluded Parties List System**



# Mission

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- Transparency – Recovery.gov Website
- Accountability – Identification of Fraud, Waste, and Mismanagement
  - Screening, Analysis, Resource Management



# How We Look for Suspended or Debarred Recipients

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- Data Matching
  - Federal Procurement Data System (FPDS)
  - Central Contractor Registration (CCR)
  - On-line Representations and Certifications (ORCA)
  - Recovery.gov data
  - Excluded Parties List System (EPLS)
- Screening Tools
  - ROC analysis
  - Regulatory Data Corporation (RDC)
  - Dun & Bradstreet Analysis



## Characterization of Our Latest EPLS Review

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- Compliance - entity was ***not eligible*** to receive the award due to a suspension or debarment
- Risk – entity was ***eligible*** to receive the award but information in EPLS indicates a certain risk associated with making such an award



# Compliance Issues

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- An agency awarded 5 Recovery contracts after an entity was suspended in EPLS
- An agency awarded a Recovery contract after an entity was debarred in EPLS
- An entity was awarded a Recovery grant when it was currently listed as being debarred and is therefore excluded from receiving federal contracts, subcontracts, and other financial assistance.



# Risk Issues

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- 2 individuals that were debarred from receiving any federal awards but serve as principals in a company that received Recovery funds
- 3 entities that were suspended or debarred from one program but received Recovery funds from another program
- An entity that received Recovery funds for one of its locations but was suspended or debarred by the same agency 10 years prior for violations at one of the entity's other locations



## Risk Issues (Continued)

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- 7 entities that received Recovery funds from one agency but were later suspended or debarred by a different agency
- A parent entity that is debarred but the child entity received Recovery funds
- An entity that received Recovery funds but what appears to be the same entity using a different DUNS is suspended or debarred
- 7 individuals that received Recovery funds but the individuals have the same name as individuals that are currently suspended or debarred



# Way Ahead

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- Continuous monitoring of Recovery awards
- Continued outreach to contracting officials and fraud prevention groups
- Identifying possible enhancements to the government's suspension and debarment process
- Collaboration with CIGIE's Suspension & Debarment Working Group