

Stimulating Innovation:



The American Recovery & Reinvestment Act of 2009

EPSCoR PD/PA Meeting
May 17, 2010



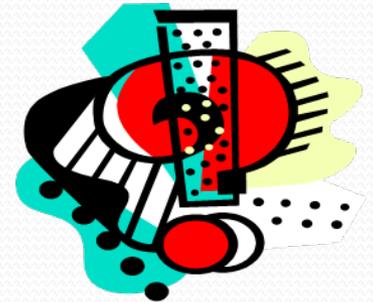
Ask Early, Ask Often

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ARRA Funding *(dollars in millions)*

Account	Total Funding	Funding To-Date	EPSCoR To-Date
R&RA	\$2,500	\$2,228	\$376
<i>awards</i>		4877	52
EHR	\$100	\$100	\$26
<i>awards</i>		97	12
MREFC	\$400	\$400	\$148
<i>awards</i>		3	1



ARRA Recipient Reporting

Each NSF award that includes ARRA funding requires the recipient to submit quarterly reports to a central Federal website.

Stage 1 Review: Phase 1 (Days 1-30)

- Automated Data Checks
- Non Reporting Check (Omissions)
- Significant Error Review

Results communicated to recipients through FederalReporting.gov and SPO to make corrections

Stage 1 Review: Phase 2 (Days 30 – 75)

Continuous QA Period

- Automated Data Checks
- Significant Error Review

Results communicated to recipient SPO to make corrections

Stage 2 Review: Phase 3 (Days 30-90)

- Automated/Programmatic Data Checks
- Major-2/Minor Error Review
- Federal Financial Review
- Program Officer Reviews

Results are communicated to recipient SPO to improve next quarter reporting



Key Quarterly Results

Quarter Ending 9/30/2009

- Recipient Reporting Compliance rate was 98%
 - Total of 107 out of 4,502 did not report
- No uncorrected significant errors and 100% accuracy rate
- Responded to six data calls from OMB and Recovery Board
- 2,491.51 jobs

Quarter Ending 12/31/2009

- Recipient Reporting Compliance rate was 99.7%
 - Total of 14 out of 4,535 did not report
 - 7 two time non-reporters
- One uncorrected significant error and 99.9% accuracy rate
- Responded to nine data calls from OMB and Recovery Board
- 2,913.87 jobs

Quarter Ending 3/31/2010

- Recipient Reporting compliance rate was 99.5%
 - Total of 25 out of 4,626 did not report
 - Only 2 two-time non-reporters
- Significant error and data call info. will be available later in the quarter
- 3,628.93 jobs



Important Guidance Changes

- M-10-14 dated 03/22/10, “Updated Guidance on the American Recovery and Reinvestment Act”
 - Highlights steps agencies must take to review data quality of recipient during new “continuous corrections” period;
 - Introduced new category of data quality issue: Administrative/Technical;
 - Provides guidance on tagging a report as final.



Important Guidance Changes (Cont'd)

- M-10-17 dated 05/04/10, “Holding Recipients Accountable for Reporting Compliance under the American Recovery and Reinvestment Act”
 - Instructs Federal agencies to contact new recipients prior to the beginning of each reporting period to notify them of their reporting obligations.
 - Directs Federal agencies to contact recipients who in prior quarters have not reported when required and pursue consistent and comprehensive follow-up to achieve reporting.
 - Mandates use of available tools to actively monitor recipients during the reporting period and requires outreach to recipients that have not reported prior to the close of the reporting period.
 - Requires Federal agencies to obtain recipient compliance with their reporting responsibilities or pursue sanctions and remedies.
 - Requires Federal agencies to report non-compliant recipients to OMB within five days of the quarterly close.



NSF Recipient Reporting Instructions

- Crosswalk of data elements provided by OMB
- Assists NSF in reviewing reports
- Research.gov – Research Spending & Results



National Science Foundation (NSF)
 American Recovery & Reinvestment Act of 2009 (ARRA)
 Recipient Quarterly Reporting Instructions
 May 4, 2010 – changes are identified in red

The following information is provided to assist NSF recipients in consistent and quality data quarterly reporting under ARRA. This chart provides a cross-walk of the data elements listed in the Recipient Reporting Data Model issued by OMB in the Final Production Release v.3.0. Guidance: The data model, however, has been modified to include only those data elements that are applicable to NSF assistance awards. In an effort to ensure data quality and to assist recipients in reporting accurate data for ARRA quarterly reports, NSF is providing additional information, where appropriate, for use by the recipient. Following this additional guidance will assist NSF in reviewing recipient reports. As new information becomes available, this document will be updated accordingly.

Many of the data elements that are required to be submitted in the quarterly recipient reports can be found on Research.gov (www.Research.gov) using the Research Spending and Results Service. From the main page of Research.gov, click the Research Spending and Results link to navigate to the search page. Type in the applicable Award ID Number into the Awardee or Award Information box and click Search. A [help sheet](#) that illustrates the location of many of the requisite responses is available for use by the recipient community.

Data Elements	Yellow – Input (user provided)
	Blue – System provided (e.g. CCR, Agency Code Reference Table)

RECIPIENT DATA ELEMENTS

Data Element	OMB Definition (as specified in OMB Recipient Reporting Data Model, v3.0)	Type	Max Length	Example	Comments	Control	Validation and Business Rule(s)	Additional NSF Guidance, where applicable
Funding Agency Code	The Federal Agency name corresponding to the Federal Agency Code used	string	55	6800	Federal Agency code is mandatory for Recipient Reporting. The Funding Agency is the agency that receives the ARRA funds through the ARRA funds appropriation.	April 1, 2009 Federal Register Transparency Act Guidance March 30, 2007. Initial Reference: fips95-2.pdf Latest Reference: NIST Special Publication		Select/Enter '4900' for a NSF awards. It is vital that this field be accurately completed as it is used by Federal Reporting.gov to forward the report to the appropriate agency.



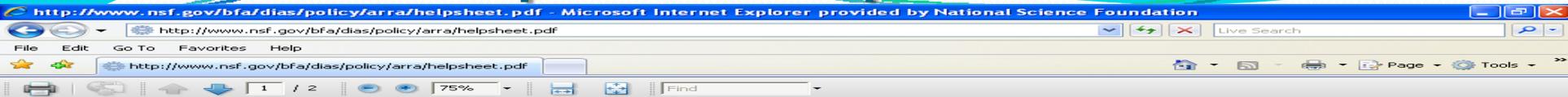
New NSF Reporting Instructions, dated May 4, 2010

Changes include:

- **Award Number Data Field** to emphasize the importance of this entry as it is used by FederalReporting.gov to forward the report to the appropriate agency;
- **Final Report Data Field** to incorporate supplemental guidance issued by OMB regarding when a report should be flagged as “Final”(see OMB Memorandum M-10-14 dated 03/22/10);
- **Award Date Data Field** to clearly articulate that this is the field used by NSF to determine when the initial quarterly submission is due;
- **Quarterly Activities/Project Description** to clarify the instructions for recipients; and
- **Number of Jobs Created/Retained Data Field** for consistency with guidance issued by OMB (see OMB Memorandum M-10-08, dated 12/18/09).



ARRA Recipient Help Sheet



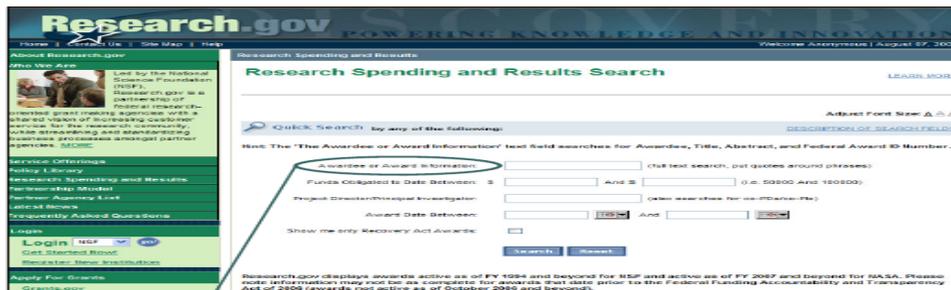
ARRA Recipient Reporting

Completing Reporting Requirements Using Information From Research.gov

Many of the data elements for your award that are required in the American Recovery and Reinvestment Act (ARRA) Recipient Reports can be found on Research.gov, using the Research Spending and Results Service.

Find Your Award

The Research Spending and Results service can be found at www.research.gov. From the main page of Research.gov, click the Research Spending and Results link to navigate to the search page.



Type in your Award ID Number into the Awardee or Award Information box and click Search



Get Your Information

Information you will need to complete your ARRA Recipient Report will be available in the Research Spending and Results Detail page for your award. The numbers listed below correspond to data elements listed in the Awardee Guidance document.

Research Spending and Results Detail

Grant Detail

Awardee: UNIVERSITY OF FLORIDA
Duns Business As Name: University of Florida
DUNS: 200-382-8200
 2300UGUR.EDU
 05020000
 \$556,404
Award Date: FY 2009 - \$556,404
Estimated Total Award Amount: 06/18/2009
Funds Obligated to Date: 05/11/2012
Award Start Date: Grant
Award Expiration Date: NSF
Transaction Type: 47 882
Agency: 400191 - NSA RECOVERY ACT
CFDA Number: DISSEMINATION OF THE SALVAGE AND STRESS SIGNING 24
Primary Program Source: 98-2716
Award Title or Description: 888828314
Federal Award ID Number: 15627167
DUNS ID: 01480000 DEF & SELF RECOG
Award FURNISH: Michael L. Mashke
Program: 793-262-4442
Program Officer: mmashk@nsf.gov

Awardee Location:
Street: 1 UNIVERSITY OF FLORIDA
City: GAINESVILLE
State: FL
Zip: 32611-2052

No.	Research.gov Element Name	ARRA Report Element Name
5	Primary Program Source	Program Source (TAS)
6	Federal Award ID Number	Award Number
7	DUNS ID	Recipient DUNS Number
10	CFDA Number	CFDA Number
20	Cong. District	Recipient Cong. District
23	Transaction Type	Award Type
24	Award Date	Award Date
25	Abstract at Time of Award	Award Description
26	Award Title or Description	Project Name or Proj/ Prog Title
33	Funds Obligated to Date	Amount of Award
48&	Street	Primary Place of

For More Information....

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www.nsf.gov/staff

www.nsf.gov/staff/orglist.jsp

