



National Science Foundation
WHERE DISCOVERIES BEGIN

Award Management

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Hosted by **Colorado
State**
University



National Science Foundation
WHERE DISCOVERIES BEGIN

Panelist

Kim Bub

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Management; Division of Grants & Agreements (DGA)

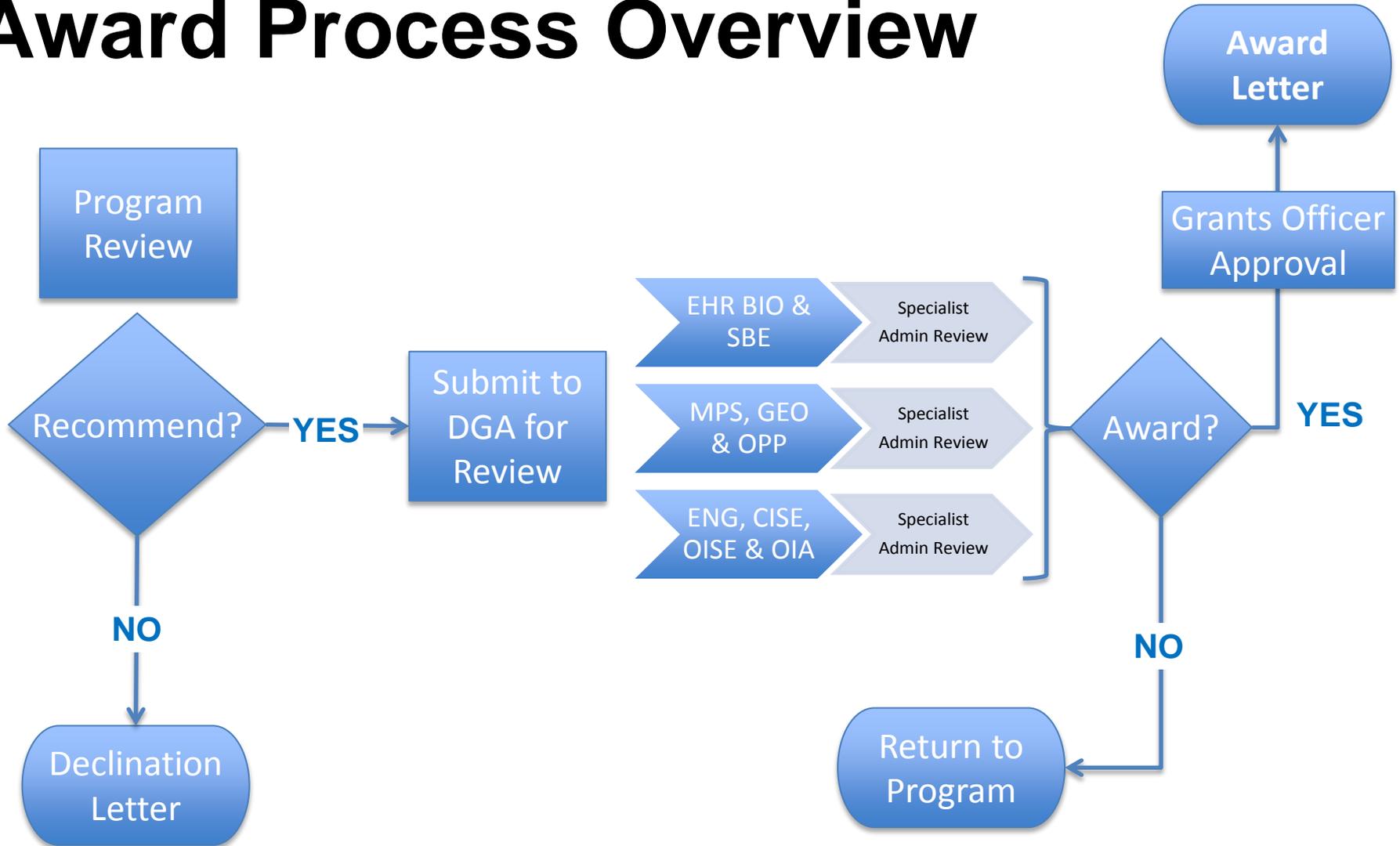


Topics Covered

- NSF Award Process
- Award Notification
- Awardee Responsibilities
- Notification and Requests
- Reports
- Monitoring
- AMBAP Visits
- Suspension and Termination



Award Process Overview





How many awards are we talking about?

DGA typically approves over 17,000 funded actions, and approximately 5,000 non-funded actions each year.





Notification & Terms of Award

Grants

- Award Letter
- Research Terms and Conditions (RTC) or Grant General Conditions (GC-1)



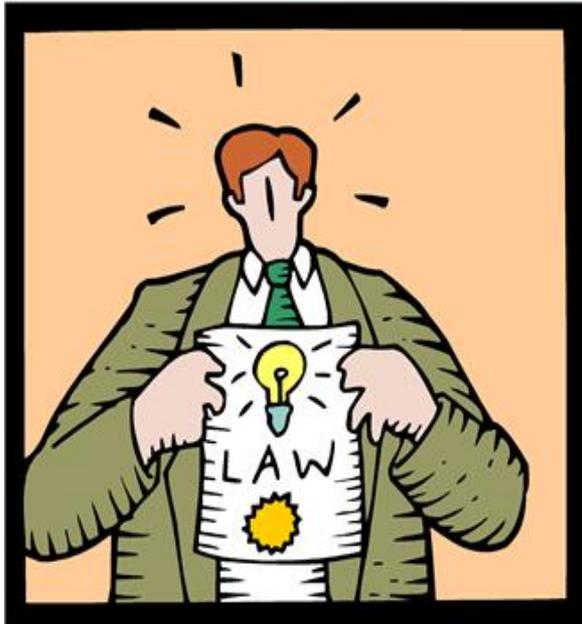
Cooperative Agreements

- Award Notice
- Financial Administrative Terms and Conditions (FATC)
- Programmatic Terms and Conditions (PTC)





Awardee Responsibility



- **Compliance with Federal rules**
- **Adherence to award terms**
- **Consistent with NSF policies**
- **Prudent management of funds**
 - Allowable
 - Allocable
 - Reasonable



Post Award Notifications & Requests

Research Administration | HOME ▶

[NSF Home](#) | [News](#) | [Site Map](#) | [GPG](#) | [AAG](#) | [Contact Us](#) | [FastLane Help](#)
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Notifications & Requests | MAIN ▶

Forwarded by PI | Prepared by SPO | Submitted to NSF

Prepare a New Notification or Request for Award #: 9999999

Award Amount:	\$7,000,000.00
Expiration Date:	12/31/2011
Division:	DIVISION OF INFORMATION SYSTEMS
Award Title:	Test for Demo
Awardee Organization:	NSF
PI/PD:	Alphaman, Alan

GRANTEE NOTIFICATION TYPES	Topic Guidance
<input type="radio"/> Anticipated Residual Funds in excess of \$5,000 or 5%	AAG
<input type="radio"/> Grantee Approved No Cost Extension	AAG
<input type="radio"/> Cost Sharing Equal To or Greater Than \$500,000	AAG
<input type="radio"/> Significant Changes/Delays or Events of Unusual Interest	AAG
<input type="radio"/> Conflicts of Interests	AAG
<input type="radio"/> Significant Changes in Methods/Procedures	AAG
<input type="radio"/> Short-Term Absence of the PI/PD (Up to Three Months)	AAG

Select the Notification or Request Type:

GRANTEE NOTIFICATION TYPES	Topic Guidance	GRANTEE REQUEST TYPES (Requires NSF Approval)	Topic Guidance
<input type="radio"/> Anticipated Residual Funds in excess of \$5,000 or 5%	AAG	<input type="radio"/> Addition of SubAward	AAG
<input type="radio"/> Grantee Approved No Cost Extension	AAG	<input type="radio"/> Withdrawal of PI/Co-PI	AAG
<input type="radio"/> Cost Sharing Equal To or Greater Than \$500,000	AAG	<input type="radio"/> Long-Term Absence of the PI/PD (Over Three Months)	AAG
<input type="radio"/> Significant Changes/Delays or Events of Unusual Interest	AAG	<input type="radio"/> NSF Approved No-Cost Extension	AAG
<input type="radio"/> Conflicts of Interests	AAG	<input type="radio"/> PI Transfer	AAG
<input type="radio"/> Significant Changes in Methods/Procedures	AAG	<input type="radio"/> Pre-award Costs in Excess of 90 Days	AAG
<input type="radio"/> Short-Term Absence of the PI/PD (Up to Three Months)	AAG	<input type="radio"/> Rearrangement/Alteration \$25,000 or over (Follow these links for more information on Non-FDP Organizations or FDP Organizations . They will open a PDF file in new window.)	AAG
		<input type="radio"/> Change of PI	AAG
		<input type="radio"/> Significant Change in Person-Months Devoted to Project	AAG
		<input type="radio"/> Changes in Objective or Scope	AAG
		<input type="radio"/> Reallocation of Funds Budgeted for Participant or Trainee Support Costs	AAG

*Topic Guidance is provided through the Award & Administration Guide (AAG) reference.

Prepare
Cancel

Only “Requests” require NSF approval



Changes that need approval?

- Objective or scope
- Principal Investigators (PIs)
- Subawards
- Participant support
- Pre-award costs



What about more time?

- Extensions



Grant Transfers?



Awarded to the Institution and not the PI

- Nominate substitute PI
- Agree to transfer
- Request to subaward
- Terminate

Requires NSF Approval





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Has my request been approved?



Not always an Award Amendment...

Check



for expiration date.



Required Technical Reporting



- Annual Project Reports
- Final Project Report
- Project Outcomes Report

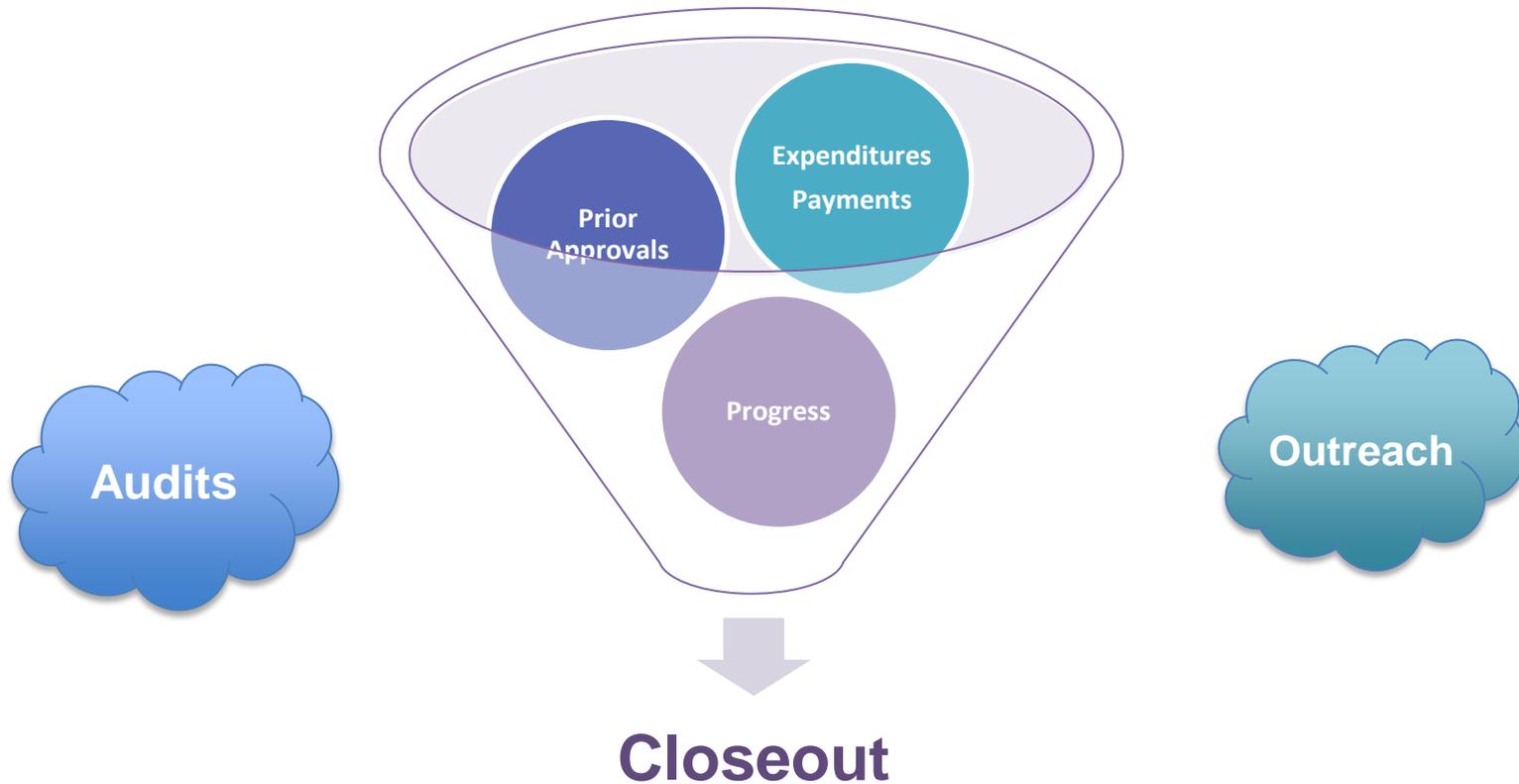
Overdue Reports

- Prevent any future funding
- Stop prior approvals
- Affect all PIs and co-PIs





Continuous Award Monitoring





Award Monitoring and Business Assistance Program (AMBAP)

Division of Grants & Agreements (DGA)

Division of Institution & Award Support (DIAS)

- Coordinate with Cost Analysis & Audit Resolution Branch
- Consult with Program Official
- Review Annual Reports
- Conduct Preparation Meetings
- Conduct Site Visit
- Write Post Site-Visit Report
- Follow-up activities as necessary



What happens if things really go wrong?

- NSF may suspend or terminate award



- Awardee can terminate



Award Management Online

Proposals and Awards

- Proposal and Award Policies and Procedures Guide
- Introduction
- Proposal Preparation and Submission
 - Grant Proposal Guide
 - Grants.gov Application Guide
- Award and Administration
 - Award and Administration Guide
- Award Conditions
- Other Types of Proposals

How to Manage Your Award

The *Award and Administration Guide*(AAG) (Part II of the *NSF Proposal & Award Policies & Procedures Guide*) sets forth NSF policies regarding the

The [Grant General Conditions](#), [Research Terms and Conditions](#), [Cooperative Agreement Conditions](#) and [Special Conditions](#) pages provide the full text of the terms and conditions used to manage NSF grants and cooperative agreements. Topics covered include awardee responsibilities, Federal requirements, pre-award costs, no-cost extensions, significant project changes, travel, allowable costs, project reports, final report requirements, and more.

The [Policy Office](#), in the [Division of Institution and Award Support](#), provides general proposal & award policy guidance for use by the NSF proposer and awardee community. The Policy Office page includes NSF-specific regulations, policies and procedures, other Federal regulations, information regarding important NSF policies, award conditions, as well as other valuable information.

The NSF conducts outreach on proposal preparation and award administration, through [Regional Grant Conferences](#), other targeted outreach programs, as well as [FastLane outreach](#) activities.

Go to [nsf.gov/awards/managing/](https://www.nsf.gov/awards/managing/)

Direct Links to GPG & AAG

Click on Policy Office for additional information

Find Award Conditions



Ask Early, Ask Often!

Kim Bub

Team Lead

MPS Team

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Division of Grants and Agreements

<http://www.nsf.gov/bfa/dga/>