



NSF Grants Conference NSF Policies & Procedures Q&A

March 19-20, 2012

Hosted by: Loyola University Chicago & Northwestern University • Evanston, IL



Panelist

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Office of Budget, Finance & Award Management



Ask Early, Ask Often!



WHERE DISCOVERIES BEGIN

Revision of NSF Award Terms and Conditions





Updated Award Terms & Conditions

- Effective for all new grants and funding amendments to existing grants awarded on or after February 1, 2012
- Incorporate revised circumstances under which use of a foreign-flag air carrier is permissible
- New article, **Academic Technology Transfer & Commercialization of University Research**, requiring higher education institutions that have NSF research support and at least \$25,000,000 in total Federal research grants in the most recently completed Federal fiscal year, to submit to NSF the URL that contains information on their transfer of technology and commercialization of research results efforts.



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Academic Technology Transfer and Commercialization of University Research

Section 520 of the America COMPETES Reauthorization Act of 2010 requires higher education institutions that have NSF research support and at least \$25,000,000 in total Federal research grants in the most recently completed Federal fiscal year to submit to NSF the universal resource locator (URL) that contains information on their transfer of technology and commercialization of research results efforts.

The URLs are displayed as submitted to the National Science Foundation. Awardees are responsible for the information contained on these websites. NSF has not approved or endorsed the content of these websites.

This requirement is effective for all new awards and funding amendments to existing NSF grants awarded on or after February 1, 2012. It has been implemented via a new NSF award term and condition, which states:

"a. Any institution of higher education (as such term is defined in section 101(A) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) that receives National Science Foundation research support (i.e., any grant or cooperative agreement awarded by NSF) and has received at least \$25,000,000 in total Federal research grants in the most recently completed Federal fiscal year shall keep, maintain, and report annually to the National Science Foundation the universal resource locator (URL) for a public website that contains information concerning its general approach to and mechanisms for transfer of technology and the commercialization of research results, including:

1. contact information for individuals and university offices responsible for technology transfer and commercialization;
2. information for both university researchers and industry on the institution's technology licensing and commercialization strategies;
3. success stories, statistics, and examples of how the university supports commercialization of research results;
4. technologies available for licensing by the university where appropriate; and
5. any other information deemed by the institution to be helpful to companies with the potential to commercialize university inventions.

For purposes of determining whether an institution meets the threshold for this requirement, both the NSF research support and the Federal research grants must have been active at some point during the most recently completed Federal fiscal year.

The institution's URL containing the information required in section a. must be electronically submitted to the following email alias: ACA520@nsf.gov. The URLs will be available to the public on the Science, Engineering and Education (SEE) Innovation section of Research.gov at: <http://www.research.gov/acasection520>.

b. Trade Secret Information - Notwithstanding section a., an institution shall not be required to reveal confidential, trade secret, or proprietary information on its website."

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Awardee-Submitted URLs

- [Auburn University](#)
- [Boston University](#)
- [Brigham Young University](#)
- [California Institute of Technology](#)
- [Carnegie Mellon University](#)
- [Colorado School of Mines](#)
- [Columbia University](#)
- [Cornell University](#)
- [Duke University](#)
- [Florida International University](#)
- [George Mason University](#)
- [Georgetown University](#)
- [Illinois Institute of Technology](#)
- [Indiana University](#)
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- [Kansas State University](#)
- [Louisiana State University](#)
- [Massachusetts Institute of Technology](#)
- [Michigan State University](#)
- [Michigan Technological University](#)
- [Montana State University](#)
- [New York University](#)
- [North Carolina State University](#)
- [Oklahoma State University](#)
- [Old Dominion University](#)
- [Oregon State University](#)
- [Portland State University](#)
- [Princeton University](#)
- [Purdue University](#)
- [Rensselaer Polytechnic Institute](#)
- [Rice University](#)
- [Rochester Institute of Technology](#)



Award Terms & Conditions

- Research Terms and Conditions & NSF Agency Specific Requirements
- Grant General Conditions (GC-1)
- Cooperative Agreement Financial Terms and Conditions
- International Research Terms and Conditions
- Administration of NSF Group Conference or Travel Grant Special Conditions



America COMPETES Act

Collaborative proposals

ARRA Acceleration

Human subjects

RPPR

Indirect costs

Award Monitoring

Academic year salary

Change in objective or scope

Proposal resubmission

participant support costs

Foreign flag air carrier

No-cost extensions

PI Transfers

program income

Research.gov

Equipment

Subawards

withdrawals

Notifications & Requests

Audits

Preaward Costs

Return without review

New grantees

Allowability of Costs

subawards

reconsideration

Grants.gov

Proposal File Updates

project reports

rebudgeting

Budget changes

travel

Cost Sharing

Proposal preparation

NSF FastLane