



# Grant Payment & Federal Financial Reporting Processes

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**NSF REGIONAL GRANTS CONFERENCE  
HOSTED BY : THE UNIVERSITY OF  
TEXAS AT AUSTIN  
OCTOBER 17-18, 2011**

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(703) 292-8293**



# Agenda

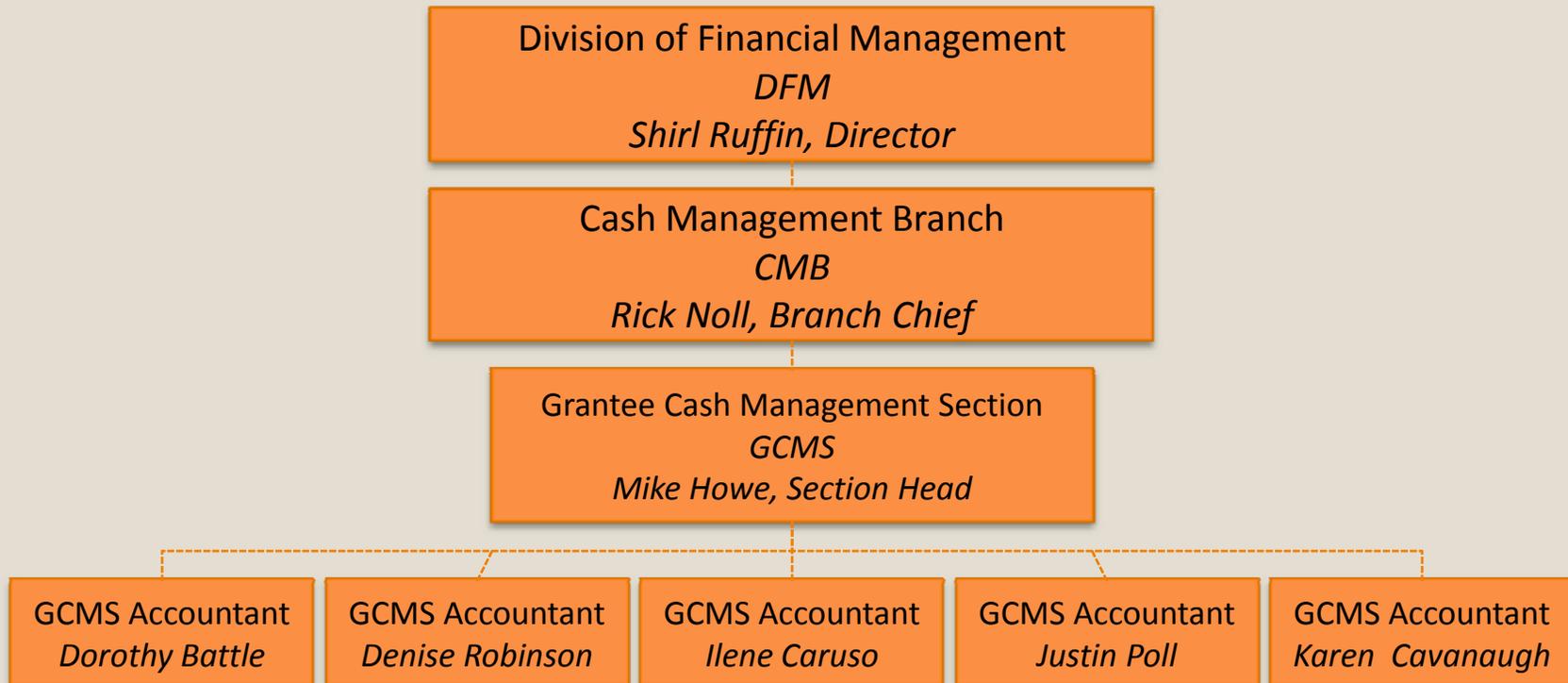
2

- **Organization Overview**
- **Financial Reporting & Grant Payment Process**
  - Research.gov
  - FastLane Financial Functions
- **Future Grant Payment Process**
- **Other Items**
  - Canceling Funds
  - Contact Information



# Grantee Cash Management Section

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**Customers: 1,816 active grantee organizations with 51,527 active awards**



# What is Research.gov?

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## Research.gov is an...

- Exciting initiative that enables organizations and grantees to have access to a menu of services for multiple federal agencies in one location.
- Modernization of FastLane that provides a menu of services aimed at easing the grants administrative burden for the research community.
- Recent changes include:
  - Single-Sign On technology was implemented June 2011 for Financial Functions users between Research.gov and FastLane
  - In Common logon using your institution's credentials

# Logging into Research.gov



1. Select NSF User from the dropdown menu then click on Login



## NSF User Login

### Rules of Behavior

This Government Computer System is provided as a public service by the National Science Foundation. It is intended for use by the public for viewing, submission and retrieval of authorized information only. All usage of information retrieved from this Computer System should follow the guidelines in Computer Fraud and Abuse Act of 1986. Unauthorized attempts to upload information, change or access information on this service are strictly prohibited and may be punishable under the Computer Fraud and Abuse Act of 1986. Usage may be monitored.

By logging in, you are accepting the rules above.

Last Name:   
NSF ID:  [Forgot My NSF ID](#)  
Password:  [Forgot My Password](#)

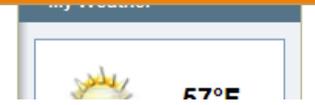
2. Enter your Last Name, User ID and Password

As of September 6, 2008, users are no longer able to log-in to Research.gov using their social security number. Instead, users should log-in using their NSF ID.

[Forgot my NSF ID](#) | [More information on this change](#)

**Recent change  
Single sign-on  
between  
Research.gov  
and FastLane**

Principal Investigators (PIs) and Sponsored Projects Office (SPO) staff can check the status of grant applications, from submission to decision, submitted to NSF and USDA/NIFA. Account Required.





## Single sign on

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- Beginning in January 2012, all financial functions will only be accessible through Research.gov. In addition to the quarterly FFR, these functions include:
  - ✦ Cash Requests
  - ✦ Cash Requests History
  - ✦ EFT Update
  - ✦ EFT History

*Note: The non-FFR functions will still operate in a FastLane environment accessible via link from Research.gov*



# Navigation Differences from FastLane

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- The ***Navigation Menu*** and ***Dashboard*** environment are new:

User navigation options will most likely be found on the green bar located on the left of the screen or in a dashboard window located in the center.

## FEDERAL FINANCIAL REPORT

[FFR Attachment](#)

[FFR](#)

[Certification](#)

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## MY DESKTOP

### Research.gov Services & Tools

[Grant Application Status](#)

[Federal Financial Report](#)

[Research Spending & Results](#)

[Policy Library](#)

[Project Outcomes Report](#)

### NSF Services

[Proposal, Awards & Status](#)

[Financial Functions](#)

## My Desktop

### Grants Application Status Dashboard

Agency

[View All](#) | [Search Grant Applications](#)

There are no grant applications currently available

### Federal Financial Report (FFR) Dashboard

## Financial Report Dashboard

[Federal Financial Report \(FFR\)](#): 55 total FFRs

Requires your action (1) | Pending Agency Action (0) | Approved (54)

### Project Outcomes Report Dashboard

[Project Outcomes Report](#): 0 Total

Due (0) | Overdue (0) | Submitted (0) | Not Yet Due (0)



# Grantee Payment Process

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- To conduct financial activities with NSF, you must be assigned Financial Functions User (FFU) permissions in FastLane.
- The system allows grantees to request cash as needed and receive the funds within 2-3 days.
- In order to request payments, the following steps must be completed:
  - Setup Financial Function users.
  - Setup and certify banking EFT information.
  - Submit payment request.
  - Submit quarterly financial reports timely.



# FastLane Financial Function Users

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## FastLane Administrative Contact

*Established users, creates or changes passwords, and user permissions*

\*Note: The FastLane Administrative Contact should periodically clean up the organization's permissions for employees who have left the organization or have been re-assigned.

Assigns

## Financial Administrator

*Usually an individual from the finance or business office such as the Controller or Deputy Controller*

Assigns

## Financial Function User(s)

*Prepares the cash requests, quarterly financial reports, and provides NSF with banking information*



# Electronic Funds Transfer (EFT) Update

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## Financial Functions for Test University

Please select the application by clicking on appropriate "GO" button. For FCTRs, select an appropriate quarter ending date.

Federal Financial Report (FFR) For Quarter Ending:

Historical Federal Cash Transaction Report For Quarter Ending:

Cash Request

Cash Request History

Grantee EFT Update

Grantee EFT History

[Instructions for Financial Functions](#)

[Change Password](#)

**National Science Foundation**  
4201 Wilson Boulevard  
Arlington, Virginia 22230, USA

Tel: 703-292-5111  
FIRS: 800-877-8339  
TDD: 703-292-5090

To enter banking information for Electronic Funds Transfer (EFT), the grantee selects the **Grantee EFT Update** option.



# Grantee EFT Update (Cont.)

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## The grantee must have:

- Taxpayer Identification Number (TIN)
- Data Universal Number System (DUNS) number
- DUNS qualifier (*optional*)

## The grantee must enter:

- Routing Number
- Account Number
- Account Type

## Grantee EFT Update

Listed below is the EFT profile for your organization. To modify this profile, change the data as required and then click on the 'Modify' button. Please note that NSF does NOT pay by WIRE. The routing number provided must be for EFT transactions. The name of the bank is determined based on the routing number you enter. Also, please verify the Tax Identification Number (TIN) and DUNS information for your organization.

### Organization Information

Organization Name: Test University  
TIN:   
DUNS Number:   
DUNS Qualifier:

### Electronic Funds Transfer Information

Bank Name: Wilmington Trust Company  
Routing Number:   
Account Number:   
Account Type:  Checking  Savings

Last Certified By: Frank FinFuncUser  
Last Certified Time: Dec 4 2009 3:30PM

To finish, select **Modify**



# Payment Request Processing

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## Financial Functions for Test University

To submit a payment request,  
the grantee selects the  
**Cash Request** option.

To view previous cash requests,  
select the  
**Cash Request History** option.

NSF processes approximately 70  
FastLane grant payments  
totaling \$20-\$30 million per day.

Please select the application by clicking on appropriate "GO" button. For FCTRs, select an appropriate quarter ending date.

Federal Financial Report (FFR) For Quarter Ending:

Historical Federal Cash Transaction Report For Quarter Ending:

Cash Request

Cash Request History

Grantee EFT Update

Grantee EFT History

[Instructions for Financial Functions](#)

[Change Password](#)

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4201 Wilson Boulevard  
Arlington, Virginia 22230, USA

Tel: 703-292-5111  
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TDD: 703-292-5090



# Cash Request Screen

## Cash Request Form

Organization ID: 0014316000      Name: Test University

Balance Authorized: \$ 50,980,121.01

Current Cash on Hand Balance:  [Help For This Field](#)

Transaction Type:

Advance FROM (mm/dd/yy):  TO (mm/dd/yy):   
 Reimbursement

Dollar Amount Requested:

Warehouse Cash Request Date (mm/dd/yy):

(Note: Use only if you would like to delay your payment from being processed by NSF.)

### *CERTIFICATION:*

I certify that to the best of my knowledge and belief the request for advance or reimbursement above is correct and that all outlays were or will be made in accordance with the grant conditions or other agreement and that payment is due and has not been previously requested.

Date: 02/24/10

Requester Name: Frank F. FinFuncUser      Title:

Telephone (Area Code, Number & Extension):

Organization E-Mail Address:

Note: This is the address to be used by NSF for the routing of all notices to this organization regarding Cash Requests.

**Please note that the Electronic Funds Transfer (EFT) profile for your organization was last certified by Frank FinFuncUser on Dec 4 2009 3:30PM.**

### Cash Request Required Fields:

- **Current Cash Balance**
- **Transaction Type**
  - From – To dates if **Advance** is selected
- **Dollar Amount Requested**
- **Title**
- **Telephone Number** (Update if required)
- **Organization Email Address** (Update if required)

**\*Note: The dollar amount requested cannot exceed the amount available and the Financial System amount available is updated in real-time.**

Submit Cash Request

Go Back to Financial Menu



# Cash Request History Option

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## List of FastLane Cash Requests for Test University

Date	Doc.ID	Status	Tran.ID	Amount
03/12/09	0026825273	-Accepted-	1000203057	\$ 135,000.00
02/26/09	0026820278	-Accepted-	1000202423	\$ 150,000.00
02/13/09	0026812790	-Accepted-	1000201754	\$ 400,000.00
01/20/09	0026802770	-Accepted-	1000200102	\$ 200,000.00
12/18/08	0026746204	-Accepted-	1000198808	\$ 275,000.00
11/26/08	0026740283	-Accepted-	1000197846	\$ 135,000.00
11/21/08	0026737138	-Accepted-	1000197569	\$ 35,000.00
11/18/08	0026734763	-Accepted-	1000197299	\$ 130,000.00
11/03/08	0026726353	-Accepted-	1000196368	\$ 120,000.00
10/22/00	0026716363	-Accepted-	1000195719	\$ 56,000.00
10/16/08	0026714517	-Accepted-	1000195413	\$ 60,000.00
10/10/08	0026713172	-Accepted-	1000195064	\$ 200,000.00
09/24/08	0026659698	-Accepted-	1000194331	\$ 50,000.00
09/17/08	0026654694	-Accepted-	1000193993	\$ 300,000.00
09/10/08	0026601634	-Accepted-	1000193601	\$ 180,000.00

Get Cash Request Detail

Go Back

### Cash Request Details

Organization ID: **001431600**  
Organization Name: **Test University**  
Current Cash Balance: **-32,868.74**

Transaction DateTime: **11/12/08 10:57:29**  
Payment Request Date: **11/12/08**  
Transaction Type: **Reimbursement**  
Dollar Amount Requested: **32,868.74**  
Document ID: **0026730635**  
Transaction ID: **1000196964**

Name of the Official: **Frank FinFuncUser**  
Title: **Controller**  
E-Mail Address: **financial.contact@testuniveristy.edu**  
Phone Number: **212-111-2222**

Edit Status: **The Transaction has passed all edits**  
Posting Status: **CASH REIMBURSEMENT SUCCESSFULLY PROCESSED**

Go back to previous page

Go Back to Internal Financial Menu



# Fixing Mistakes on Cash Requests

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- **Cash requests can be canceled if an error is identified:**
  - Call the assigned Accountant
  - Only available for the same day the cash request was submitted
- **Refund can be made if mistake is noticed late:**
  - Pay.gov
  - Check by mail: address available under website FAQ's  
<http://www.nsf.gov/bfa/dfm/cmeab.jsp>



## Federal Financial Report (FFR)

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- Grantees are required to report the financial activity of NSF awards on a quarterly basis. The reports are prepared and submitted electronically through either FastLane or Research.gov.
- The SF 425 – Federal Financial Report (FFR) consolidates and replaces the SF 269 – Financial Status Report and SF 272 Federal Cash Transaction Report with a single report. OMB required that all federal agencies transition to the FFR by September 30, 2009.



# Federal Financial Report (FFR)

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- The FFR provides federal agencies and grant recipients with a standard format and consistent reporting requirements.
- The reports are due 30 days after the end of the quarter.

FY 2012 due dates are as follows:

Quarter Ending	Due Date
9/30/11	11/01/11
12/31/11	01/31/12
03/31/12	04/30/12
6/30/12	07/31/12

- The ability to draw cash is shut off for institutions that do not submit the report by the due date.



# Federal Financial Report (FFR)

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- FFR Attachment
- FFR
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- Awards Purged
- FFR History

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  - User Management
  - Policy Library
  - Project Outcomes Report
- NSF FastLane Services

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## Federal Financial Report History

[FFR Help](#)

Show  per page

55 FFRs found

Showing Results 1 - 25

PAGE 1 of 3 [1](#) [2](#) [3](#) [NEXT PAGE >>](#)

<a href="#">Quarter Ending</a>	<a href="#">Status</a>	<a href="#">Required</a>	<a href="#">FFR Type</a>
<a href="#">06/30/2011</a>	Open	Required	FFR
<a href="#">03/31/2011</a>	Posted to NSF	Required	FFR
<a href="#">12/31/2010</a>	Posted to NSF	Required	FFR
<a href="#">09/30/2010</a>	Posted to NSF	Required	FFR
<a href="#">06/30/2010</a>	Posted to NSF	Required	FFR
<a href="#">03/31/2010</a>	Posted to NSF	Required	FFR

# FFR Attachment for Quarter Ending:06/30/2011

[FFR Help](#)

Harvey Mudd College | CA | 0011718000  
 Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

OMB APPROVAL: 0348-0061

View    
 Show   per page

The columns outlined in orange are updated by the grantee. The new cumulative amount reported cannot exceed the Total Federal Funds Authorized.

35 Grants Found.

Showing Results 1 - 25

PAGE 1 of 2

1 2

[NEXT PAGE](#)

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<a href="#">Federal Grant Number</a>	<a href="#">Recipient Account Number</a>	<a href="#">PI/PD Name</a>	<a href="#">Start Date</a>	<a href="#">End Date</a>	<a href="#">Total Federal Funds Authorized (A1)</a>	<a href="#">Previous Cumulative Cash Disbursements (A2)</a>	<a href="#">Federal Share of Expenditures (Cumulative Cash Disbursements) (A3)</a>	<a href="#">Obligated Balance of Federal Funds (A4)</a>	<a href="#">Hold Grant Open</a>
<a href="#">0531570</a>	517-5140516	Donner, C.	10/05	09/11	\$219,072.00	\$208,403.41	\$215,004.35	\$4,067.65	
<a href="#">0545809</a>	517-5141520	Alvarado, C.	07/06	06/12	\$403,510.00	\$263,026.77	\$300,892.63	\$102,617.37	
<a href="#">0553334</a>	517-5141068	Baker, S.	04/06	12/10	\$416,000.00	\$369,068.61	\$369,068.61	\$46,931.39	
<a href="#">0618548</a>	517-5141072	Karukstis, K.	01/07	12/10	\$37,252.00	\$37,252.00	\$37,252.00	\$0.00	Financially Closed
<a href="#">0619150</a>	517-5141071	Karukstis, K.	10/06	09/11	\$361,168.00	\$298,291.89	\$308,508.00	\$52,660.00	
<a href="#">0634592</a>	517-5149513	Milton, J.	10/06	09/11	\$429,878.00	\$355,725.11	\$386,942.63	\$42,935.37	
<a href="#">0648597</a>	517-5141074	Johnson, A.	04/07	03/11	\$180,617.00	\$175,094.28	\$180,617.00	\$0.00	No <input type="button" value="v"/>
<a href="#">0701308</a>	517-5143521	Su, F.	08/07	07/11	\$114,468.00	\$113,862.09	\$114,441.38	\$26.62	
<a href="#">0713682</a>	517-5143524	Martonesi, S.	03/08	02/11	\$49,022.00	\$41,214.15	\$41,364.15	\$7,657.85	No <input type="button" value="v"/>
<a href="#">0717490</a>	517-5143523	Yong, D.	09/07	08/12	\$531,956.00	\$259,190.08	\$296,968.18	\$234,987.82	



# FFR Attachment –New Awards

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## FFR Attachment for Quarter Ending:06/30/2011

[FFR Help](#)

University of Arizona | AZ | 0010835000  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

OMB APPROVAL: 0348-0061

- Warning: The amount of cumulative cash disbursements is less than the amount previously reported for awards 0640899, 0930919, 0946328, 0964957. Please review the amount entered and correct if necessary.

View

19 Grants Found.

Showing Results 1-19

PAGE: 1 of 1

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<a href="#">Federal Grant Number</a>	<a href="#">Recipient Account Number</a>	<a href="#">PI/PD Name</a>	<a href="#">From</a>	<a href="#">To</a>	<a href="#">Total Federal Funds Authorized (A1)</a>	<a href="#">Previous Cumulative Cash Disbursements (A2)</a>	<a href="#">Federal Share of Expenditures (Cumulative Cash Disbursements) (A3)</a>	<a href="#">Unobligated Balance of Federal Funds (A4)</a>	<a href="#">Hold Grant Open</a>
★ <a href="#">1008527</a>	302700	Kapp, P.	06/11	05/12	\$410,611.00	\$0.00	\$106,656.63	\$303,954.37	
★ <a href="#">1049885</a>	305450	Hodgins, G.	06/11	05/12	\$41,729.00	\$0.00	\$9,592.07	\$32,136.93	
★ <a href="#">1052166</a>	301110	Fares, H.	04/11	03/12	\$184,711.00	\$0.00	\$44,933.33	\$139,777.67	
★ <a href="#">1057559</a>	301010	Leahey, E.	05/11	04/12	\$125,005.00	\$0.00	\$53,064.65	\$71,940.35	



# FFR Attachment –CancelingAwards

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## FFR Attachment for Quarter Ending:06/30/2011

[FFR Help](#)

University of Arizona | AZ | 0010835000  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

OMB APPROVAL: 0348-0061

- Warning: The amount of cumulative cash disbursements is less than the amount previously reported for awards 0640899, 0930919, 0946328, 0964957. Please review the amount entered and correct if necessary.

View

1 Grants Found.

Showing Results 1-1

PAGE 1 of 1

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<a href="#">Federal Grant Number</a>	<a href="#">Recipient Account Number</a>	<a href="#">PI/PD Name</a>	<a href="#">From</a>	<a href="#">To</a>	<a href="#">Total Federal Funds Authorized (A1)</a>	<a href="#">Previous Cumulative Cash Disbursements (A2)</a>	<a href="#">Federal Share of Expenditures (Cumulative Cash Disbursements) (A3)</a>	<a href="#">Unobligated Balance of Federal Funds (A4)</a>	<a href="#">Hold Grant Open</a>
<a href="#">0611320</a>	352890	Aldenderfer, M.	12/05	12/11	\$725,789.00	\$626,100.33	\$725,789.00	\$0.00	



# Award Details

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## Research Spending & Results

### Award Detail

Awardee:	HARVEY MUDD COLLEGE
Doing Business As Name:	Harvey Mudd College
PD/PI:	Susan E Martonosi (909) 607-0481 martonosi@math.hmc.edu
Co-PD(s)/co-PI(s):	Michael Orrison
Award Date:	03/13/2008
Estimated Total Award Amount:	\$ 49,022
Funds Obligated to Date:	\$ 49,022 FY 2010=\$17,144 FY 2009=\$16,328 FY 2008=\$15,550
Award Start Date:	03/15/2008
Award Expiration Date:	02/28/2011
Transaction Type:	Grant
Agency:	NSF
Awarding Agency Code:	4900
Funding Agency Code:	4900
CFDA Number:	47.049
Primary Program Source:	490100 NSF RESEARCH & RELATED ACTIVIT
Award Title or Description:	Harvey Mudd College Mathematics Conference Series
Federal Award ID Number:	0713682
DUNS ID:	066691130
Program:	INFRASTRUCTURE PROGRAM
Program Officer:	Dean M Evasius



# FFR Summary (Top)

Claremont Graduate University | CA | 0011692000  
 Quarter Ending : 09/30/2011 | Due Date: 11/13/2011

OMB APPROVAL: 0348-0061

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Page 1 of 1

1. Federal Agency and Organizational Element to Which Report is Submitted:	National Science Foundation, Division of Financial Management													
2. Federal Grant or Other Identifying Number Assigned by the Federal Agency:	--													
3a. Recipient Organization Name:	Claremont Graduate University													
3b. Recipient Organization Address:	<table border="0"> <tr> <td>* (Line 1):</td> <td><input type="text" value="Grants and Contracts Office"/></td> <td>*City:</td> <td><input type="text" value="Claremont"/></td> </tr> <tr> <td>(Line 2):</td> <td><input type="text" value="150 E. 8th Street, Suite A"/></td> <td>*State:</td> <td><input type="text" value="CA"/></td> </tr> <tr> <td>(Line 3):</td> <td><input type="text"/></td> <td>*Zip:</td> <td><input type="text" value="91711"/></td> </tr> </table>		* (Line 1):	<input type="text" value="Grants and Contracts Office"/>	*City:	<input type="text" value="Claremont"/>	(Line 2):	<input type="text" value="150 E. 8th Street, Suite A"/>	*State:	<input type="text" value="CA"/>	(Line 3):	<input type="text"/>	*Zip:	<input type="text" value="91711"/>
* (Line 1):	<input type="text" value="Grants and Contracts Office"/>	*City:	<input type="text" value="Claremont"/>											
(Line 2):	<input type="text" value="150 E. 8th Street, Suite A"/>	*State:	<input type="text" value="CA"/>											
(Line 3):	<input type="text"/>	*Zip:	<input type="text" value="91711"/>											
4a. DUNS Number:	076183789													
4b. EIN:	951664100													
5. Recipient Account Number or Identifying Number:	--													
6. Final Report:	<input type="radio"/> Yes <input type="radio"/> No													
7. Basis of Accounting:	<input type="radio"/> Cash <input type="radio"/> Accrual													
8. Project/Grant Period:	From: (mm/dd/yyyy) To: (mm/dd/yyyy)													
9. Reporting Period End Date:	09/30/2011													
10. TRANSACTIONS:														
<b>Federal Cash:</b>														
Beginning Cash on Hand (COH) from previous FFR:		-\$17,618.74												
Payments Received:		\$62,510.53												
a. Cash Receipts = Beginning COH + Payments Received		\$44,891.79												
b. Cash Disbursements = (A3 - A2 from FFR Attachment)		-\$1,045,234.27												
Adjustments to Financially Closed Awards = New Federal Share of Expenditures (Cumulative Cash Disbursements) - Current Federal Share of Expenditures (Previous Cumulative Cash Disbursements)		\$0.00												
c. Cash on Hand = Cash Receipts - (Cash Disbursements + Adjustments to Financially Closed Awards)		\$1,090,126.06												

To change the expenditure amount on closed awards, the **FFR Preparer** selects **Enter Adjustments**.

[Enter Adjustments](#)



# FFR (Bottom Half)

*(Cumulative Cash Disbursements) - Current Federal Share of Expenditures  
(Previous Cumulative Cash Disbursements)*

c. Cash on Hand = Cash Receipts - (Cash Disbursements + Adjustments to Financially Closed Awards)	\$1,090,126.06
---------------------------------------------------------------------------------------------------	----------------

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized = A1 from FFR Attachment	\$1,228,279.00
e. Federal Share of Expenditures = A3 from FFR Attachment	\$0.00
f. Federal share of unliquidated obligations (line 10d minus 10e)	--
g. Total Federal share (sum of lines 10e and 10f)	--
h. Unobligated balance of Federal funds = A1 - A3 from FFR Attachment	\$183,044.73
Balance Authorized = Unobligated Balance of Federal Funds - Cash on Hand	-\$907,081.33

**Recipient Share:**

i. Total recipient share required	--
j. Recipient share of expenditures	--
k. Remaining recipient share to be provided (line i minus j)	--

**Program Income:**

l. Total Federal Program Income Earned	\$0.00
m. Program income expended in accordance with the deduction alternative	--
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m or line n)	\$0.00

[Enter Program Income](#)

To report the program income earned on an award, the **FFR Preparer** selects **Enter Program Income**.

**11. Indirect Expense:**

a. Type of Rate:	Predetermined <input type="radio"/> Final <input type="radio"/> Fixed <input type="radio"/>
b. Rate:	--
c. Period From:	--
Period To:	--
d. Base:	--
e. Amount Charged:	--
f. Federal Share:	--
g. Totals:	--

The **Remarks** field is available for the **FFR Preparer** to provide additional explanations as needed.

**12. Remarks:**

*Include any explanation deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation. (850 characters)*

Save

Save & Continue



# FFR Adjustments to Financially Closed Awards

## Enter Adjustments

- To enter adjustments, first enter a Federal Grant Number to populate the Previous Cumulative Cash Disbursements. Note that the Federal Grant Number must be financially closed to be adjusted and the expenses must have been incurred during the life of the grant.
- Next, enter the New Federal Share of Expenditures (Cumulative Cash Disbursements) to report adjustments to grants. Note that the difference between the Previous Cumulative Cash Disbursements and the New Federal Share of Expenditures (Cumulative Cash Disbursements must be  $\neq \pm \$300$ ).
- Next, enter a short justification.
- Click "Save" at any time and come back to your work later. Click "Save and Return to Report" to save your work and return to the FFR.
- To view the FFR Worksheet - Adjustments in PDF, click "Print to PDF".
- Required fields are indicated by an asterisk (\*).

[FFR Help](#)

University of Arizona | AZ | 001063500  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

The FFR Preparer enters the **NSF Award ID**, **New Cumulative Amount**, and a **Justification** in order to increase or decrease previously reported expenditures.

0 items found

Export: [Excel](#) [Print to PDF](#)

Adjustment Number	*Federal Grant Number	Previous Cumulative Cash Disbursements	*New Federal Share of Expenditures (Cumulative Cash Disbursements)	Adjustment Amount	*Justification
1	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
2	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
3	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
4	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
5	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
6	<input type="text"/>	\$0.00	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>

Adjustments of less than +/- \$300 are not accepted



# FFR Program Income

Program Income accrued during the grant period is to be added to funds committed to the project. *(see Section VI-F of the AAG)*

## Enter Program Income

- To enter program income, first enter a Federal Grant Number.
- Next, enter the Federal Program Income Earned.
- Click "Save" at any time and come back to your work later. Click "Save and Return to Report" to save your work and return to the FFR.
- For more information on Federal Program Income please see the [NSF Proposal & Award Policies & Procedures Guide](#).
- To view the FFR Worksheet - Program Income in PDF, click "Print to PDF".
- Required fields are indicated by an asterisk (\*).

[FFR Help](#)

University of Arizona | AZ | 0010835000  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

0 items found

Export Excel Print to PDF

Line Number	*Federal Grant Number	*Federal Program Income Earned	*Program income expended in accordance with the addition alternative	*Unexpended Program Income	Remarks
1	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
2	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
3	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
4	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
5	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
6	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
7	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>
8	<input type="text"/>	<input type="text" value="\$0.00"/>	<input type="text" value="\$0.00"/>	\$0.00	<input type="text"/>

The FFR Preparer enters the **NSF Award ID**, **Program Income Earned**, and **Program Income Expended** when income has been earned by the grantee as a result of the grant.



# FFR Program Income (Cont)

27

14		\$0.00	\$0.00	\$0.00	
15		\$0.00	\$0.00	\$0.00	
16		\$0.00	\$0.00	\$0.00	
17		\$0.00	\$0.00	\$0.00	
18		\$0.00	\$0.00	\$0.00	
19		\$0.00	\$0.00	\$0.00	
20		\$0.00	\$0.00	\$0.00	
21		\$0.00	\$0.00	\$0.00	
22		\$0.00	\$0.00	\$0.00	
23		\$0.00	\$0.00	\$0.00	
24		\$0.00	\$0.00	\$0.00	
25		\$0.00	\$0.00	\$0.00	
<b>Totals</b>		\$0.00	\$0.00	\$0.00	

The FFR Preparer checks the box at the bottom of the worksheet if income has not been earned by the grantee as a result of the grant.

No Program Income to Report

Cancel

Save

Save & Return to Report

## Preparer Certification

- Review the Certification below and update appropriate form fields as necessary.
- Click "Save" at any time and come back to your work later. Click "Save and Submit to NSF" to submit the complete FFR to NSF.
- To view the Certification click "Print to PDF." To view the complete FFR click "Print Full Report to PDF."

[FFR Help](#)

Arizona State University | AZ | 0010819000  
Quarter Ending : 09/30/2011 | Due Date: 11/01/2011

 [Print to PDF](#)     [Print Full Report to PDF](#)

### 13. CERTIFICATION:

Cash Receipts (FFR Line 10a.)	\$10,666,021.83
Cash Disbursements (FFR Line 10b.)	-\$156,599,607.58
Adjustments to Financially Closed Awards (FFR.)	\$0.00
Cash on Hand (FFR Line 10c.)	\$167,265,629.41
Total Federal Program Income Earned (FFR Line 10n.)	\$0.00

- a. Name of Preparer: Jayashree Ganesan
- b. Preparer's Email Address: jayashree.ganesan@asu.edu
- c. Preparer's Phone Number: 480-965-9442 
- d. Preparer's Phone Extension:
- e. Preparer's Fax: 480-965-2455 
- f. Name of Certifying Official:
- g. Title of Certifying Official:
- h. Certifying Official's Email Address: jayashree.ganesan@asu.edu
- i. Date Report Submitted:

The FFR Preparer selects **Forward for Certification** once he/she has completed the report.

Comments:

Cancel

Save

Forward For Certification



# FFR Certification (Certifier)

- Review the Certification below and update appropriate form fields as necessary.
- Click "Save" at any time and come back to your work later. Click "Save and Submit to NSF" to submit the complete FFR to NSF.
- To view the Certification click "Print to PDF." To view the complete FFR click "Print Full Report to PDF."

[FFR Help](#)

Harvey Mudd College | CA | 0011718000  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

[Print to PDF](#) [Print Full Report to PDF](#)

The FFR has been forwarded to you in your role as the FFR Certifier by the Preparer for your review, certification, and submission to NSF.

### 13. CERTIFICATION:

Cash Receipts (FFR Line 10a.)	\$176,191.89
Cash Disbursements (FFR Line 10b.)	\$800,866.38
Adjustments to Financially Closed Awards (FFR.)	\$0.00
Cash on Hand (FFR Line 10c.)	-\$624,674.49
Total Federal Program Income Earned (FFR Line 10n.)	

The FFR Certifier attests to the certification statement by checking the box.

I certify:

(A) That to the best of my knowledge and belief, this report is true in all respects and that all disbursements have been made for the purposes and conditions (including cost-sharing requirements as stated in the NSF grant policy manual) of the awards

(B) That final closeout disbursements have been reported on all grants that expired prior to the beginning of this reporting quarter except for awards I have identified to be held open with **'unpaid obligations'** on the FFR Attachment, and

(C) That awards requested to be held open for the payment of **'unpaid obligations'** have been reviewed to establish the validity of the **'unpaid obligations'** and appropriate measures have been taken to permit the earliest possible payment or deobligation

(D) Under penalties of perjury a willful false certification is a criminal offense, 18 U.S.C. 1001.

- |                                         |                  |
|-----------------------------------------|------------------|
| a. Name of Preparer:                    | Mia Alonzo       |
| b. Preparer's Email Address:            | pjagarap@nsf.gov |
| c. Preparer's Phone Number:             | 9096218207       |
| d. Preparer's Phone Extension:          |                  |
| e. Preparer's Fax:                      | 9096218367       |
| f. Name of Certifying Official:         | Mia Alonzo       |
| g. Title of Certifying Official:        |                  |
| h. Certifying Official's Email Address: | pjagarap@nsf.gov |
| i. Date Report Submitted:               |                  |



# FFR Certification (Certifier)

30

(A) That to the best of my knowledge and belief, this report is true in all respects and that all disbursements have been made for the purposes and conditions (including cost-sharing requirements as stated in the NSF grant policy manual) of the awards

(B) That final closeout disbursements have been reported on all grants that expired prior to the beginning of this reporting quarter except for awards I have identified to be held open with "unpaid obligations" on the FFR Attachment, and

(C) That awards requested to be held open for the payment of "unpaid obligations" have been reviewed to establish the validity of the "unpaid obligations" and appropriate measures have been taken to permit the earliest possible payment or deobligation

(D) Under penalties of perjury a willful false certification is a criminal offense, 18 U.S.C. 1001.

a. Name of Preparer:	Mia Alonzo
b. Preparer's Email Address:	pjagarap@nsf.gov
c. Preparer's Phone Number:	9096218207
d. Preparer's Phone Extension:	
e. Preparer's Fax:	9096218367
f. Name of Certifying Official:	Michelle Bernal
g. Title of Certifying Official:	
h. Certifying Official's Email Address:	michelle_bernal@cuc.claremont.edu
i. Date Report Submitted:	

Comments:

Cancel

Save

Save And Submit To NSF

Reject

The **FFR Certifier** selects **Save and Submit to NSF** for processing once the report has been completed.



# Frequent Submission Issue

31

- Even if the same person has both user roles, the two processes are separate steps.

CONFIRMATION

FFR Report for Quarter Ending: 12/31/2009

Thu February 25 11:26 EST 2010

Financial User

You have successfully submitted the Federal Financial Report to NSF.

[<< Return to Financial Functions Home](#)

Until the **FFR Certifier** receives this second confirmation screen the report has not been submitted to NSF.

- Several institutions forget to complete the second certification step each quarter causing their report to be late.
- The NSF Accountant will send email reminders to those institutions that have forwarded the report but the report is still awaiting certification.



# FFR- Awards Purged

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- FEDERAL FINANCIAL REPORT**
- FFR Attachment
  - FFR
  - Certification
  - Advances/Reimbursements
  - Awards Purged
  - FFR History

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## Schedule of Awards Purged and Subsequent Adjustments

[FFR Help](#)

Harvey Mudd College | CA | 0011718000  
 Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

2 Records found

Showing Results 1-2

PAGE: 1 of 1

Export:  Excel  Print to PDF

<a href="#">Federal Grant Number</a>	<a href="#">Recipient Account Number</a>	<a href="#">PI/PD Name</a>	<a href="#">Project/Grant Period From</a>	<a href="#">Project/Grant Period To</a>	<a href="#">Total Federal Funds Authorized (A1)</a>	<a href="#">Federal Share of Expenditures (Cumulative Cash Disbursements (A3))</a>	<a href="#">Final Unobligated Balance (A4)</a>
<a href="#">0615724</a>	517-5141070	Johnson, A.	09/06	08/10	\$202,987.24	\$202,987.24	-\$12.76
<a href="#">0730630</a>	517-5143522	Bernoff, A.	09/07	08/10	\$54,113.00	\$54,113.00	\$0.00
<b>Totals</b>					<b>\$257,100.24</b>	<b>\$257,100.24</b>	<b>-\$12.76</b>

[Return to FFR](#)



# FFR – Advances/ Reimbursements

 [Print Page](#) [Adjust Font Size: A A A](#)

## Schedule of Advances, Reimbursements and Refunds

[FFR Help](#)

University of Arizona | AZ | 0010835000  
Quarter Ending : 06/30/2011 | Due Date: 08/10/2011

17 Records found

Showing Results 1-17

PAGE: 1 of 1

Export:  [Excel](#)  [Print to PDF](#)

<a href="#">NSF Posting Date</a>	<a href="#">Source Doc Number</a>	<a href="#">Amount Requested (Amount in Dollars)</a>
04/05/2011	0027932431	\$2,220,390.00
04/07/2011	0027933582	\$54,930.00
04/11/2011	0027934554	\$574,360.00
04/14/2011	0027987707	\$211,739.00
04/21/2011	0028040084	\$1,168,666.00
05/03/2011	0028044480	\$1,325,862.51
05/06/2011	0028045550	\$875,572.00
05/10/2011	0028046419	\$947,205.00
05/11/2011	0028046901	\$129,831.00
05/19/2011	0028054404	\$1,707,213.00
06/02/2011	0028059547	\$600,000.00
06/07/2011	0028060909	\$2,035,497.00
06/09/2011	0028061724	\$398,711.00
06/13/2011	0028064387	\$722,656.00
06/16/2011	0028065922	\$1,776,043.00
06/20/2011	0028066695	\$884,601.00
06/28/2011	0028070375	\$547,764.00
<b>Totals</b>		<b>\$16,381,040.51</b>



# Future Grant Payment Process

34

- NSF made the decision in late FY 2010 to develop a new approach to award payments and associated post award processes.
- Increased focus is being placed on transparency and accountability in the stewardship of Federal funds.
- Congress, OMB and other stakeholders are demanding up-to-date information on how tax dollars are being spent.



# The New Approach

35

- **The Award Cash Management System (ACM\$) will be based on awardees providing award level detail at the time of the payment request.**
  - This will enhance access to award financial data in real time or near-real time for both awardee and program staff, and
  - Eliminate the need for the quarterly Federal Financial Report.



# Comparison

36

## **FastLane**

*(Pooling Payment Request)*

- Focus on institution level records
- Payment request which totals all grant fund needs
- Reconciliation on a quarterly basis
- Distribution of payment received may not match records that produced the payment request

## **ACM\$**

*(Award Level Detail Payment Request)*

- Focus on financial records on an award level basis
- Payment request with detail that matches awardee records
- Reconciliation in real-time
- Payment file detail can be used to eliminate distribution discrepancies



# Our Vision

37

- **Retaining current system benefits**
- **Availability of multiple input options:**
  - **Manual Web Entry**  
*appropriate for smaller organizations*
  - **Excel Spreadsheet Download/Upload Entry**  
*appropriate for medium and large sized organizations*
  - **Bulk XML Data File Upload**  
*appropriate for those large organizations who desire further automation*
- **System pilot with 20-30 volunteer institutions**
- **Thorough reconciliation between NSF and grantee records will be completed**



# Institution Award Analysis

38

Number of Grants	Institution Count	Percent
1	680	37.44%
2-3	430	23.68%
4-5	157	8.65%
6-15	229	12.61%
16-30	92	5.07%
31-50	52	2.86%
51-100	53	2.92%
101-200	44	2.42%
201-300	26	1.43%
301-500	29	1.60%
501+	24	1.32%
Grand Total	1816	100.00%



# Transition

39

- NSF is currently in the planning stages of the transition and is developing the timeline, but expects to transition January 2, 2013.
- We have been working with the grantee community to develop a timeline that will not interfere with grantee fiscal year end tasks.
- NSF will continue to provide updates to stakeholders as the timeline is developed.
- Goals of the Reconciliation Process:
  - Insure all awardee balances match NSF award balances
    - ✦ Balances include award amount, expenditures and revenue
  - Bring grantee FFR Cash on Hand (COH) balances to zero (\$0)



# Key Dates

40

**09/30/2012**

## **Standard FFR**

•Due 10/30/2012

**12/24/2012**

## **Cash Shutdown**

**12/31/2012**

## **Reconciliation FFR**

•Due 01/31/2013

**01/02/2013**

## **System Implementation**

- Institutions will be given access to the new system when they have:
- Completed filing their 12/31/2012 FFR (due by 01/31/2013)
- A \$0 COH from the pooling method

**TBD**

## **Completion of Reconciliation Process**

- Reconciliation will occur post implementation





## Post Implementation FFR Reconciliation

41

- **09/30/2012 FFR will be generated and required from every institution with a 10/30/2012 due date**
- **12/31/2012 FFR will be generated and required from every institution with a 01/31/2013 due date**
- **Current Cash Request functionality will remain available until 12/24/2012**
- **Reconciliation process will be completed after implementation**



# Post Implementation FFR Reconciliation

*(continued)*

42

- **New system will be implemented on 01/02/2013**
- **Institutions will be given access to the new system when they have:**
  - Completed filing their 12/31/2012 FFR (due by 01/31/2013)
  - A \$0 COH from the pooling method
    - ✦ NSF will initiate payments for institutions with negative COH balances with the submission of the 12/31/2012 FFR
    - ✦ Refunds will be required from institutions with positive COH balances with the submission of the 12/31/2012 FFR
  - Shutdown period will be defined by the institutions' completion of these activities



# Preparing for the Change

43

- **What can you do now to prepare?**
  - Be sure you can reconcile the Cash on Hand (COH) balance reported on your quarterly FFR to the COH balance on your financial records
    - ✦ Be able to identify any reconciliation items that cause differences between the two numbers such as overages, at-risk spending, and refunds made on canceled appropriations
  - Submit FFR each quarter by the due date
  - Bring operating COH balances as close to zero as possible until the implementation occurs
    - ✦ Be current on payment requests to reduce negative COH balances
    - ✦ Refund positive COH balances

**AWARD CASH MANAGEMENT SYSTEM**

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## Award Cash Management System (ACM\$)

[< Back to Organization](#)

### New Payment Request (Open Awards)

Organization Name: **<Name of the organization>**

Organization ID: **000000000**

State: **XX**

Step 1. Payments

Step 2. Adjustments

Step 3. Review

[Save](#)

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Brief instructional text brief instructional text brief instructional text brief instructional text brief instructional text. [View online help](#) for this process.

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[Expiring awards](#)

[Thresholds have been applied](#)

[Payment pending](#)

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Federal Award Number	Recipient Account Number	PI/PD Name	From	To	Total Federal Funds Authorized	Previous Cumulative Cash Disbursement	Net Available Funds	Payment Amount Requested	Expected Close Date	Final Flag
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	** \$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	** \$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	** \$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	\$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>
<a href="#">0000000</a>	<input type="text" value="12345678"/>	Lastname, FI	mm/yy	mm/yy	\$10,000,000.00	\$10,000,000.00	** \$10,000,000.00	<input type="text"/>	mm/dd/yyyy	<input type="checkbox"/>

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## Award Cash Management System (ACM\$)

[< Back to Organization](#)

### New Adjustment Request (Closed Awards)

Organization Name: **<Name of the organization>**

Organization ID: **0000000000**

1. Payments

2. Adjustments

3. Review

[Save](#)

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Brief instructional text brief instructional text brief instructional text brief instructional text brief instructional text. [View online help](#) for this process.

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<a href="#">Federal Award Number</a>	<a href="#">Award Close Date</a>	<a href="#">Award Status</a>	<a href="#">Original Federal Funds Authorized</a>	<a href="#">Previous Cumulative Cash Disbursement</a>	<a href="#">Net Available Funds</a>	<a href="#">Adjustment Eligibility Status</a>	<a href="#">Adjustment Requested</a>	<a href="#">Justification</a>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	no	<input type="text"/>	<input type="text"/>
0000000	<b>Invalid Award</b>							
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	no	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	<b>Invalid Award</b>							
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>
0000000	mm/dd/yyyy	status	10,000,000.00	10,000,000.00	10,000,000.00	yes	<input type="text"/>	<input type="text"/>

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## FEEDBACK

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## Award Cash Management System (ACM\$)

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### Review & Submit Request

Organization Name: [<Name of the organization>](#)

Organization ID: 0000000000

Export to: [-select one-](#) [Go](#)

[Payments](#)

[Adjustments](#)

[Review & Submit](#)

#### Transaction Information

Total # of Awards: 00

Submitted by: Lastname, Firstname

Date Submitted: mm/dd/yyyy 00.00.00

Payment Date Requested: mm/dd/yyyy

Status: [<Value of Status>](#)

#### Payment Information

**New Payment Requests (Open Awards) = Subtotal New Payment Requests: \$000,000.00**

**Adjustments (Closed Awards) = Subtotal Adjustments: \$0,000.00**

**Payment Request Control Total : \$000,000.00**

#### Preparer Comments

#### Certification

I, [Firstname Lastname](#), certify:

(A) That to the best of my knowledge and belief, this request is true in all respects and that all disbursements have been made or will be made within 3 days of this request for the purposes and conditions (including cost-sharing requirements as stated in the NSF grant policy manual) of the awards

(B) That final closeout disbursements have been reported on all grants that expired prior to the beginning of this reporting quarter except for awards I have identified

(C) Under penalties of perjury a willful false certification is a criminal offense, 18 U.S.C. 1001.

[Certify Request](#)

[Reject Request](#)



## Other - Canceling Funds

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- Public Law 101-510 requires that all federal agencies financially close fixed year appropriation accounts and **cancel** any remaining balances by September 30th of the 5th year after the period of availability.
- All NSF awards funded with FY 06/07 appropriations are due to cancel on 9/30/12.
- These grants may **not** be extended past 9/30/12 due to an edit built into the FastLane ***No-Cost Extension*** system.
- The federal appropriation and not the effective/expiration dates of the award determines when it will cancel.
- In Feb 2012, GCMS accountants will contact all grantees with awards with canceling funds.



# Contact Information

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