



**Grant Streamlining Efforts:
The New Grants Governance Structure for
Government-wide Grants Initiatives**

National Grants Management Association (NGMA)

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Washington, DC

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Grants Policy Committee
Grants Management Line of Business
Grants.gov/Grants Executive Board

- What they are
- How they work together
- Why they are important to you



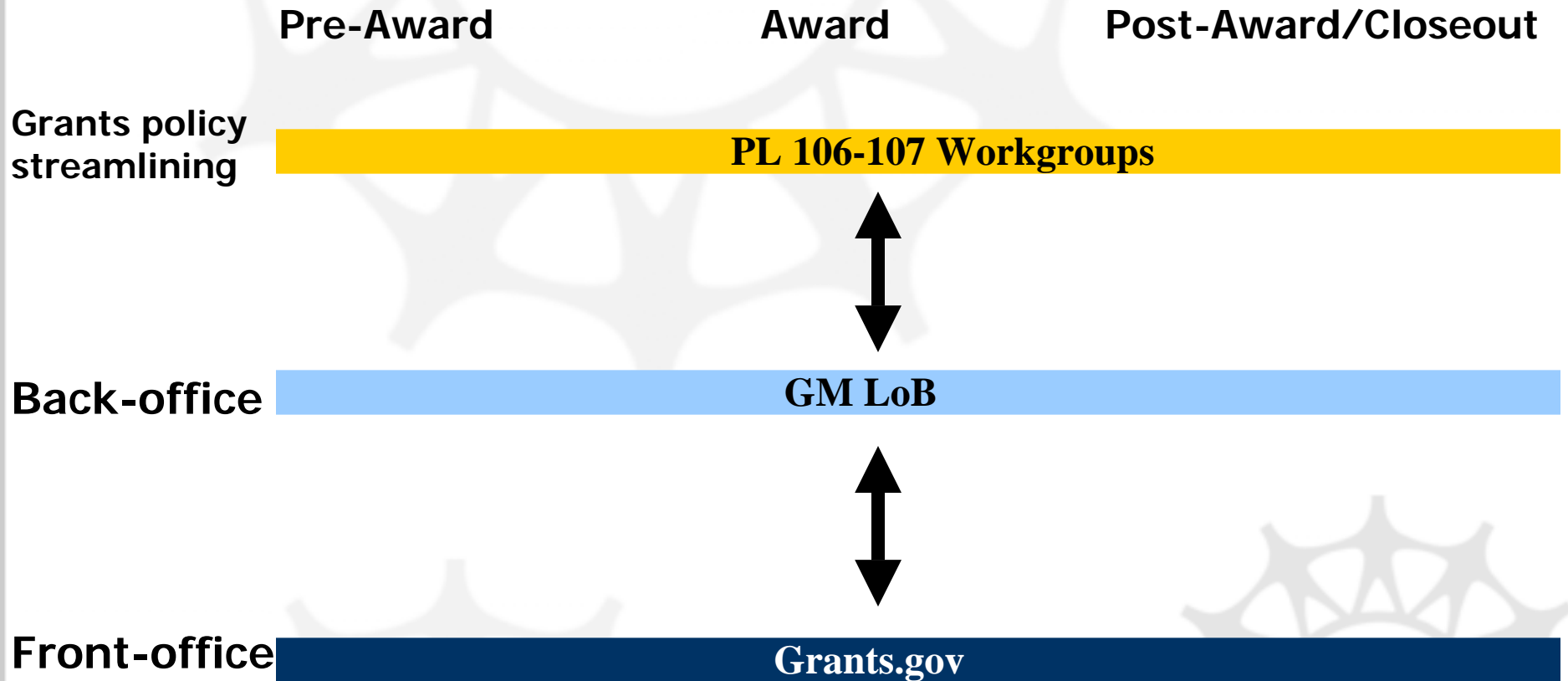
Grants Policy Committee

Grants Management Line of Business

Grants.gov/Grants Executive Board

- President's Management Agenda
- E-gov initiatives
- PL 106-107
- GAO Report on PL 106-107
- All share common themes and vision
 - Consolidation
 - Coordination
 - Communication
 - Customer Service

Grant Streamlining Vision



Grants Streamlining

- Big dollars spread over many agencies/programs:
 - \$ 540 B
 - 26 agencies
 - > 900 programs
- Significant spending on Grant Management systems.
Fiscal Year 2005:
 - \$144 million Development Modernization and Enhancement
 - \$3.8 billion in Operations and Maintenance
- Opportunities for increased efficiencies, improved oversight and management, and customer-centric focus

Grants Policy Committee

- Chief Financial Officers Council
- Executive Sponsor
 - Office of Federal Financial Management, OMB
 - Linda Combs, Controller
- Oversight of the PL 106-107 activities
- Coordination with other ongoing federal grants streamlining efforts
- PMO – Health and Human Services (HHS)

GPC Vision: November 2007 – The P.L. 106-107 Vision

- One place for all grants administration policies
 - Title 2 Code of Federal Regulations
- One Catalog with all assistance programs listed
 - CFDA registration required
- One announcement format of assistance opportunities
 - Standard Formant, irrespective of where posted
 - Announcement synopses available electronically

GPC Vision: November 2007 – The P.L. 106-107 Vision (continued)

- One electronic portal for grantees:
 - To register (CCR; profiles; certifications and assurances)
 - To search (FIND; links to full announcements; links to CFDA)
 - To apply (APPLY; using government-wide standard data sets)
 - Research
 - Arts and humanities
 - Education/training
 - Transportation infrastructure
 - Health and social services
 - Disaster assistance
 - Mandatory grants to States

GPC Vision: November 2007 – The P.L. 106-107 Vision (continued)

- To receive (electronic award with government-wide standard terms/conditions)
- To find government-wide award data with easy query tool
- To access payment systems (single gateway to all payment systems)
- To submit electronic reports (standard financial; standard performance: unique project reporting)
- To submit audits/access Federal Clearinghouse

Vision Components Completed

- One place for policies:
 - Title 2 Code of Federal Regulations established
 - 4 OMB Circulars re-located
 - Cost consistency complete across 3 Circulars
 - Suspension/debarment guidance published
- One Catalog:
 - CFDA available on the web
- One Announcement format
 - Policy issued effective Oct 2003

Vision Components Completed (continued)

- One electronic portal
 - Grants.gov operational
 - DUNS policy issued and effective Oct 2003
 - FIND standard data elements done/policy issued and effective Oct 2003
 - Core SF-424 application in use with APPLY as of Nov 2003
 - Research & Related 424 in use with APPLY as of Jan 2005
 - Other SF-424s available in APPLY (EZ, individual, mandatory)

Vision Components Underway

- CCR registration policy just starting
- Standard award terms and conditions to be proposed in 2006
- Standard award notice to be proposed in 2006
- Proposal for handling certifications and assurances in 2006
- Standard financial reporting -FFR being tested Oct 05 – Mar 06
- Standard performance reporting – PPR draft ready for public comment
- Summary invention reporting – new policy for GPC review Nov 06
- Grantee real and tangible personal property reporting – underway
- Standards for Grant Specialist/Grants Management Officer training and certification underway
- Agency relocations of Suspension/debarment regulations 2 CFR – underway and to be complete by end of 2006

Vision Actions to Be Done (Future or Holes)

➤ Examples:

- A-89 needs revision to strengthen CFDA requirement
- APPLY application data sets need greater standardization
- APPLY policy to be developed
- Electronic AWARD to be started
- Electronic REPORT to be started
- Consolidating payment systems to be revived/single gateway
- And others.....

Grants Policy Committee - Structure

- Co-Chairs: Tom Cooley, CFO, NSF
Susan Grant, CFO, DoE

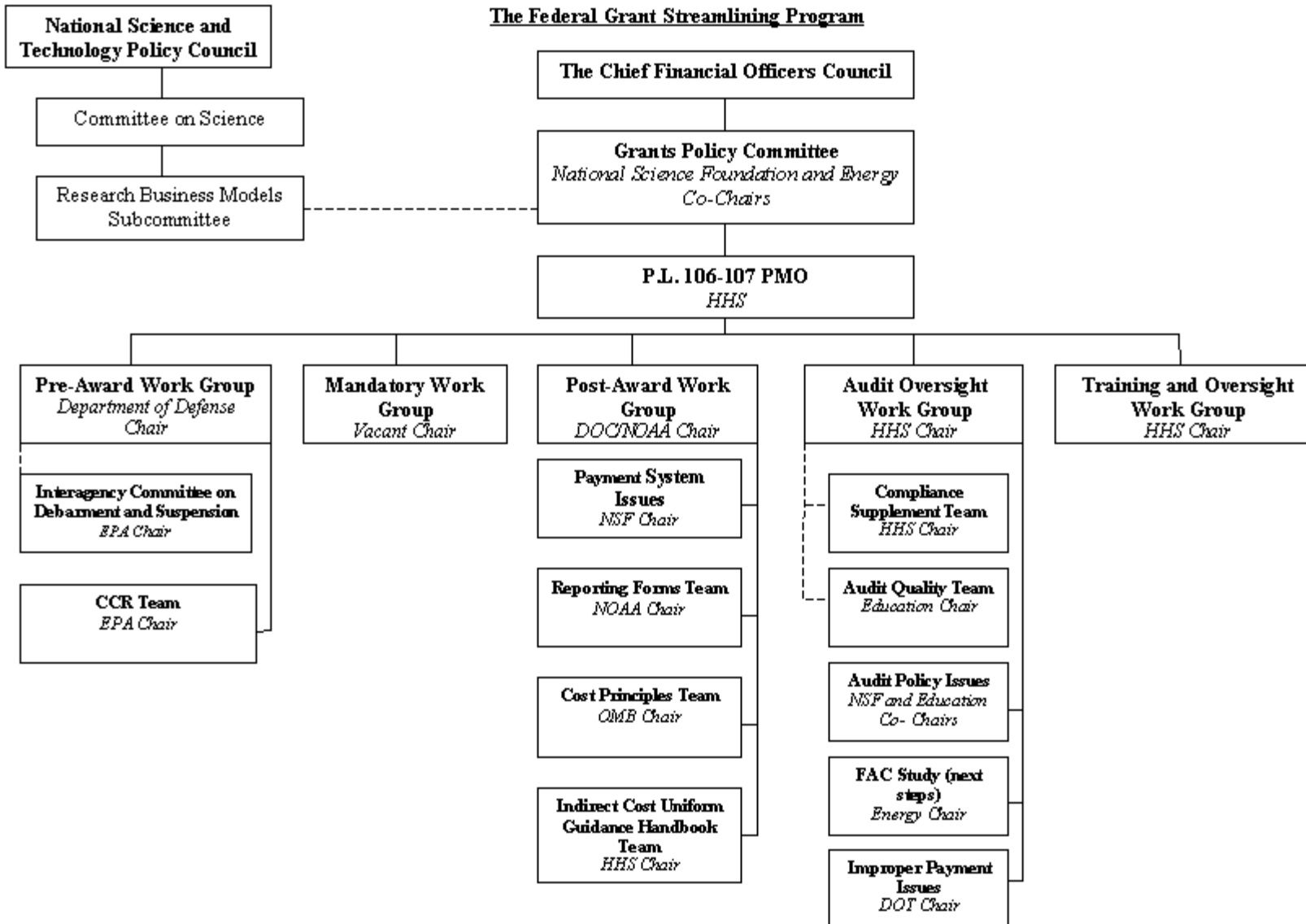
Members:

- Grants Policy Officers from Federal grants agencies
- Liaisons to the Grants Executive Board and the Grants Management Line of Business

Grants Policy Committee - Structure

- Five Working Groups:
 - Pre-Award
 - Post-Award
 - Audit and Oversight
 - Mandatory
 - Training and Certifications

The Federal Grant Streamlining Program



Current Pre-Award Work Group Issues

- 1) Grants.gov FIND
- 2) Announcement Format
- 3) Certifications and Assurances
- 4) Suspensions and Debarments
- 5) National Policy Requirements
- 6) Administrative Requirements
- 7) Clean-Up Work

A-89, pre-award policies

Current Post-Award Work Group Issues

- 1) FFR pilot with PMS
- 2) Performance Progress Report (PPR)
- 3) Real Property/Personal Property Reports
- 4) Inventions Report

Current Audit Work Group Issues

- 1) Compliance Supplement
- 2) Audit Policy Issues
- 3) Improper Payments
- 4) Internal Controls
- 5) Federal Audit Clearinghouse
- 6) RBM – Sub recipient Monitoring

Involving Stakeholders

- Dialogues opened with
 - Federal Demonstration Partnership (FDP)
 - National Grants Partnership (NGP)
- Stakeholder meetings proposed for Spring 2006
 - Uses RBM model to implement
 - Three (at least) – East Coast, West Coast, Mid-America
 - Possibly one wrap-up in D.C.
 - Host locales to be solicited
 - Webcast – if possible
 - More this late Fall and Winter as it evolves
 - Purpose: Feds “listen” to customer concerns and recommendations and then prioritize and get on with it



Grants.gov

The Grants.gov Initiative

- Mandate - President's Management Agenda and PL 106-107
- Originally called the E-Grants Initiative
- Eleven Partner Agencies Initially
 - HHS (managing partner), Defense, Education, HUD, Justice, Transportation, Agriculture, Commerce, Labor, Homeland Security/FEMA, and NSF
 - Participation now includes 26+ Grants-making agencies of the Federal Government
- Provides a single, unified "storefront" for all customers of Federal grants to find and apply electronically
 - Grants.gov Find – Launched in February 2003
 - Grants.gov Apply– Launched in October 2003

Grants.gov Current Status and Next Steps

- All 26 grant-making agencies are required to post all discretionary grant programs in the Grants.gov Find
- OMB has directed agencies to post in Grants.gov Apply:
 - 25% of their funding opportunities in FY 2005;
 - 75% of their funding opportunities in FY 2006;
 - 100% of their funding opportunities in FY 2007.

Applicant System-to-System (S2S) Feature Will:

- Allow Applicant Organizations to submit electronic applications via an XML file rather than PureEdge.
 - Eliminate the need to re-enter data into the Applicant Organization's back office grant system.
 - Support integration with Applicant Organization back office grants management systems.
 - Decentralize user verification requirements.
- Bypasses the use of PureEdge forms
- Promotes standardization and streamlining of application data across agencies

Grants.gov Architecture Updates

➤ Mac/Unix/Linux Support

- Short-term Solution, Citrix Server – December 2005
- PureEdge Native Mac Viewer – November 2006

➤ System Architecture Upgrade

- November 2005 Upgrade – 2 Web Servers to 4 (June 2005) to 8 (October 2005)
- Segment Functionality Across Servers, Move to Dynamic Server Allocation



Grants Management Line of Business



Lines of Business Opportunities

- Common Solution
 - A business process and/or technology based shared service made available to government agencies.
- Business Driven (vs. Technology Driven)
 - Solutions address distinct business improvements that directly impact LoB performance goals.
- Developed Through Architectural Processes
 - Solutions are developed through a set of common and repeatable processes and tools.
- Current LoBs
 - Financial Management (FMLOB)
 - Human Resources Management (HRLOB)
 - Grants Management (GMLoB)
 - Federal Health Architecture (FHALOB)
 - Case Management (CMLOB)

GMLoB – Vision & Goals

Vision	A government-wide solution to support end-to-end grants management activities that promote citizen access, customer service, and agency financial and technical stewardship.
Goals	<ul style="list-style-type: none">➤ Improve customer access to grant opportunities➤ Increase efficiency of the submission process➤ Improve decision making➤ Integrate with Financial Management processes➤ Improve the efficiency of the reporting procedures in order to increase the usable information content➤ Optimize the post-award and closeout actions

GMLoB Participating Agencies

Managing Partners:

- National Science Foundation
- Department of Health and Human Services

Participating Agencies:

- Department of Agriculture
- Department of Veterans Affairs
- Department of Commerce
- Agency for International Development
- Department of Defense
- Corporation for National Service
- Department of Education
- Environmental Protection Agency
- Department of Energy
- Department of Homeland Security

- Institute for Museum and Library Services
- Department of Housing and Urban Development
- NASA
- Department of the Interior
- National Archives and Records Administration
- Department of Justice
- National Endowment for the Arts
- Department of Labor
- National Endowment for the Humanities
- Department of State
- Department of Transportation
- Small Business Administration
- Department of the Treasury
- Social Security Administration

GMLoB - Accomplishments

- GMLoB operating model and approach
- Common Grants Management process
- Evaluation of Request for Information
- Common solution white paper
- Target architecture
- Business case development

GMLoB – Current Status

- Governance established
 - HHS and NSF to be co-leads in implementation
 - Grants Executive Board to provide guidance and oversight
 - Grants Policy Committee to continue Policy Development and input and liaison to Grants Executive Board
- GMLoB and Grants.gov have begun to discuss streamlining opportunities in back office and front office processes and data
- Managing partners are working with Grants Executive Board to identify initial 3 consortia
 - Prove the concept
 - Standardize methodologies and consortia operating model
 - Identify additional consortia in late FY06 / early FY07

GMLoB - Process for Identifying Consortia

➤ Purpose

- Develop transparent process for the grant-making community to recommend initial 3 consortia that are most prepared to move ahead

➤ Outcome

- At least three named consortia
- Agreement by the grants-making community to move forward with the named consortia

➤ Approach

- Ask agencies to submit a “Declaration of Intent” to communicate interest in leading a consortium or participating in one as a member
- Assemble committee out of the Grants Executive Board to rank Declarations of Intent and recommend 3 consortia leads

GMLoB – Next Steps

- Recommend and name initial 3 consortia
- Work with consortia to develop action plans
- Reach out to grantee community
- Begin documenting policy, technical, data, process guidelines and standards
- Ensure consistency with common operating model and common business process
- Share learning across consortia