NSF Update
Proposal & Award Policies & Procedures Guide (PAPPG; NSF 15-1)

January 27, 2015

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Webinar Information

- You have been placed in listen-only mode

- Questions should be submitted using the chat function

- This presentation is available at:
  http://www.nsf.gov/bfa/dias/policy/outreach/nsfupdate_pappgdec2014.pdf

- An archived version of this webinar will be available on the Policy Office website: nsf.gov/bfa/dias/policy/

- Any follow-up questions should be directed to policy@nsf.gov

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Speaker

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Topics

- *Proposal & Award Policies & Procedures Guide* (PAPPG) Update
  - Uniform Guidance Implementation
  - Other Significant Policy Changes
  - Automated Compliance Checking

- Revision of NSF Award Conditions to implement the Uniform Guidance

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Uniform Guidance – What is it?

- This reform streamlines the language from eight existing OMB circulars into one consolidated set of guidance in the code of Federal regulations.

- This consolidation is aimed at eliminating duplicative or almost duplicative language in order to clarify where policy is substantively different across types of entities, and where it is not. As a result, the guidance includes sections and parts of sections which are clearly delineated by the type of non-Federal entity to which they apply.

- OMB published the Uniform Guidance in the Federal Register on December 26, 2013, and directed Federal Agencies to implement by December 26, 2014

Uniform Guidance Implementation by OMB

- OMB published Uniform Guidance on December 26, 2013.
- OMB released FAQs on:
    - February 12, 2014
    - August 29, 2014
    - November 2014
    - These FAQs have the full force and effect of the Uniform Guidance
- OMB conducted webinars on the Uniform Guidance on:
    - January 27, 2014
    - October 2, 2014
- OMB issued consolidated government-wide implementation in December 2014
- Visit cfo.gov/cofar/ for the latest information.

NSF's Implementation of the Uniform Guidance

- NSF received OMB approval to implement Uniform Guidance via the PAPPG.

- Draft PAPPG released for public comment through *Federal Register*, May 9 - July 8, 2014

- NSF published the PAPPG on November 20th, with an effective date of December 26, 2014
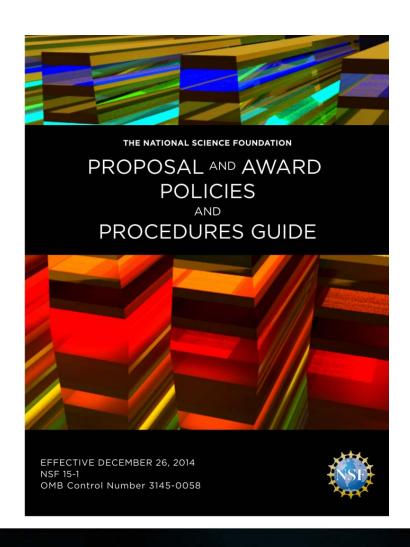
Please submit questions via the chat function.

We'll pause now to answer a few.

PAPPG – Policy Changes

- ## NSF Implementation of OMB's Uniform Guidance

- ## Other Significant Policy Changes

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PROPOSAL AND AWARD POLICIES AND PROCEDURES GUIDE

EFFECTIVE DECEMBER 26, 2014
NSF 15-1
OMB Control Number 3145-0058

PAPPG – Uniform Guidance Federal Register Comments

- Common topics:
  - Subawards and Subaward budgets
  - Indirect cost Application (and application of a 10% *de minimus* rate)
  - Grant closeout
  - Record retention and audit
  - Procurement

PAPPG – Uniform Guidance Significant Changes to the GPG

- Administrative and Clerical Salaries & Wages

- Travel

- Participant Support

- Materials and Supplies

- Indirect Costs

- Risk Management Framework

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PAPPG – Uniform Guidance Significant Changes to the AAG

- NSF Award Conditions

- Notifications and Requests

- Cost Sharing

Uniform Guidance - NSF Deviation

- ## Non-Use of the Federal Financial Report Data Elements

  - Award Cash Management Service (ACM$) and NSF Program Income Reporting Worksheet implemented as NSF's primary financial data collection mechanisms

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PAPPG – Other Significant Changes Grant Proposal Guide (GPG)

- Special Exceptions to Deadline Date Policy – Inclement Weather

- Budget Justification

- Ideas Lab

- Clarification on two month salaries

- Format of the Proposal – Automated Compliance Checking

Automated Compliance Checking

- **GPG-specific auto-compliance checks, including Funding Mechanism/Proposal Section beginning January 26, 2015:**

  - Enforce page count rules for the following proposal sections:
    - Project Description
    - Budget Justification
    - Mentoring Plan
    - Data Management Plan

  - Budget Checks: implement duration and requested amount compliance checks
    - Example: maximum requested amount cannot exceed $200,000 for a RAPID proposal

  - Enforce proposal sections depending on the funding mechanism type
    - Example: enforce a Project Description for a research grant

Revision of the Grant General Conditions (GC-1), dated December 26, 2014 -- Highlights

- In the absence of Research Terms and Conditions, the GC-1 was modified to implement the new Uniform Guidance for use with all recipient types

  - Previously, the GC-1 was used with for-profit entities and State and local governments only

- Terminology modified throughout for consistency with the Uniform Guidance

- Prior approval requirements have been updated in Article 2

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Revision of the Grant General Conditions (GC-1), dated December 26, 2014 (Cont'd)

- Specific OMB FAQs have been highlighted throughout

- Participant support costs have been modified to require prior approval for additional categories of participant support costs not identified in approved budget

- Project Reporting Requirements have been clarified to specify when a report becomes "overdue"

Revision of the Grant General Conditions (GC-1), dated December 26, 2014 (Cont'd)

- Expenditure reporting modified to establish the requirement that grantees must submit final financial disbursements no later than 120 days after the grant ends in the Award Cash Management Service.

  - This overrides the 90 days period identified in the Award & Administration Guide (AAG)

- Program Income Updated for consistency with AAG

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NSF – Uniform Guidance Implementation – Key Dates

- Effective for proposals submitted or due on or after December 26

- Effective for new awards and for funding amendments on existing awards made on or after December 26

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We'll pause now to answer a few.

For More Information…..

Ask Early, Ask Often!

policy@nsf.gov