

Trans-Atlantic Platform Call on Democracy, Governance, and Trust

National Science Foundation

Kwabena Gyimah-Brempong

Wenda Bauchspies

Andy DeSoto

August 15, 2023

**National Endowment for the
Humanities**

Russell Wyland

Introduction and organization

- Thank you and welcome
- Agenda
 - Welcome by Dr. Sylvia Butterfield; Acting Assistant Director; Social, Behavioral and Economic Sciences; NSF
 - Introduction of presenters
 - Brief presentation of T-AP DGT by NSF/SBE and NEH
 - Questions and answers
- Much of the webinar will be dedicated to Q&A
- Please use the Q&A box to submit your questions!



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

What's the National Science Foundation?

- Independent federal agency
- Divided into directorates; each directorate is made up of divisions
- Mission
 - To promote the progress of science,
 - To advance the national health, prosperity, and welfare,
 - To secure the national defense.
- T-AP DGT is being managed out of the Social, Behavioral and Economic Sciences Directorate (SBE) at NSF



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

NEH 101

*The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; those aspects of **social sciences** which have humanistic content and employ humanistic methods; and the study and application of the humanities to...**the current condition of national life.***

-National Foundation on the Arts and Humanities Act of 1965

- NEH supports research projects at all stages of development and in all areas of the humanities, including interdisciplinary and cross-disciplinary approaches.
- NEH defines research in broad terms. Eligible research might include release time for work in archives and libraries; writing; archaeological excavation; ethnographic fieldwork; creation of digital and traditional print research tools, editions, and translations; collaborations and convenings.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

What is T-AP DGT?

- Partnership among 12 funding agencies from 9 countries on both sides of the Atlantic to fund scientific research on democracy, governance, and trust (DGT)
- T-AP aims to:
 - Catalyze and support transnational collaborative research in the social sciences and humanities
 - Support outstanding collaborative research projects that contribute to our understanding of challenges and opportunities affecting DGT
 - Co-develop robust and resilient work programs with communities and key stakeholders
 - Promote equity, diversity, and inclusion



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Objectives

- Improve and innovate conceptualization and theorizing of DGT
- Address topics aimed at collective responses to global challenges to DGT
- Define and describe opportunities, challenges and crises relevant to DGT
- Offer methodological, disciplinary, and cross disciplinary perspectives
- Interventions to enhance democratic processes, governance, rebuild trust
- Co-development of work programs with communities and key stakeholders
- The role of digital media, tools and technologies in eroding or strengthening DGT



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Research themes

- Concepts, understandings, & models of democracy, governance and trust
- Education
- Media, information, and communication
- Economies and economic systems
- Identities, discrimination, marginalization
- Ecosystems and environments
- Epistemologies, knowledge, and expertise.
- History and culture
- Power, authority, and conflict



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

What will NSF/SBE fund?

- Though T-AP DGT is written to incorporate social sciences and the humanities, NSF does not fund the humanities, but NEH does.
- Fund all social and behavioral science fields and combinations thereof—see the SBE website to see what is funded (<https://nsf.gov/SBE>)
- What will SBE not fund?
 - Clinical, counseling, business administration, social work, education (except science education), and history (except history of science)
 - Research with disease related goals
 - Animal models and development and testing of drugs



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Application process

- A research team consists of national teams from at least 3 T-AP countries on both sides of the Atlantic. Team submits ONE joint proposal
- One PI from the team is designated as the lead PI
- Lead PI creates an account at FAPESP
- Lead PI submits the NOI and the joint proposal to FAPESP portal; lead is the point of contact for the Team
- For NSF, there is no need to submit a separate proposal unless you hear that your project has been recommended for funding
- Lead PI submits REQUIRED Notice of Intent to submit at FAPESP portal by September 15, 2023
- Full proposals are due by November 6, 2023
- Pay particular attention to the FAPESP instructions and NSF & NEH addenda



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

NEH's DGT requirements

- To apply for an NEH award, at least one member of your team must a) be working on the humanities component of your project and b) must be at a US institution of higher education. Sorry, NEH cannot make awards or subawards to non-US institutions.
- The total request from the United States (NEH + NSF) cannot exceed \$200,000.
- If you are requesting an award from NEH, create a single PDF with the following files:
 - Completed SF-424 Short Organizational Form
 - Statement of humanities significance and humanities work plan (suggested length: 2 pages)
 - Completed R&R budget form for amount requested of NEH
 - Budget justification
- Name your single document with "NEH" clearly in the title to then add it to the ADDITIONAL DOCUMENTS section of your team's submission to T-AP.
- Failure to submit the required documents will make your application ineligible.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Additional information from NEH

- Information about NEH's participation in the T-AP DGT call is found in the NEH Addendum on the T-AP GDT website or by visiting the T-AP section of the NEH website: <https://www.neh.gov/blog/nehtrans-atlantic-platform-democracy-governance-and-trust>
- While NEH won't read draft applications for this opportunity, we gladly discuss your anticipated submissions with you. Contact Deputy Director Russell Wyland (Rwyland@neh.gov) to set a telephone call, Teams meeting, or Zoom appointment for you and members of your team.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Budgets

- Each national team submits a budget request (for the US, the budget must comply with NSF/NEH requirements: see NSF & NEH addenda)
- The national budgets are converted to euros and consolidated into a project budget, and that is the budget that is submitted with the proposal
- Note that the national maximum is the maximum the national team can get, it is not the maximum project budget.
- If your project is selected for an award, you submit the same proposal, NSF/NEH formatted budget, and a few other administrative documents to NSF/NEH for funding.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

NEH budget information

- NEH awards cannot be used for: subawards to foreign institution or organization, activities performed by federal entities, promotion of a particular political agenda, advocacy of a particular program of social action, lobbying efforts, or work that falls outside the humanities. See the NEH Addendum for additional guidance.
- The T-AP DGT call uses peer review very similar to the review procedures followed by NEH.
- Awardees will be required to submit final financial and narrative reports.
- NEH will pay indirect costs; cost-sharing is not required.



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Review process

- Review process is very similar to what NSF and NEH use: Involves international, interdisciplinary panel review
- Proposals are first checked to see if they comply with the T-AP DGT criteria
- Adjudication panel meets to review, rank, and make funding recommendation based on their reading of the proposals
- Funders forum meets to choose final slate of projects to be funded
- Lead PI is notified once a decision is made to fund or not



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Review criteria

- Review considerations are similar to those of NSF:
 - Intellectual merit
 - Relevance to the call
 - Quality, innovation, and feasibility of research plan
 - Broader impact
 - Partnerships and planning



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

National addenda

- If your proposal is chosen for funding, NSF/NEH addendum or both provide additional guidance on how to submit your proposal to NSF for funding
- Some national addenda documentation is similar to those of FAPESP but additional documents



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

NSF addendum

- NSF addendum provides additional guidance in preparing your proposal for funding to NSF
- Where to find guidance on preparing your submission: PAPPG
- Mechanisms to submit your proposal
- Research.Gov
- Grants.Gov
- What NSF does/does not fund
- Letters of Collaboration
- Guidance on the format of your proposal
- Results from prior NSF awards, collaborators
- Data management plan



NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

Questions?

Kwabena Gyimah-Brempong, PhD

Program Director

NSF Social, Behavioral and Economic
Sciences Directorate

kgyimahb@nsf.gov

Russell Wyland, PhD

Deputy Director

NEH Division of Research Programs

rwylan@neh.gov